

201-128561

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THIRD AGENCY DOCUMENTS - 201-128561 (HALPERIN)

Vol. VII FBI (Boston) 2/6/62; File 100-25501 & 65-14303
FBI (Boston) 1/25/63; Files as above
FBI (Washington) 2/26/63; re HALPERIN
FBI (Washington) 2/26/63
FBI (Boston) 1/13/64; File #BS 100-25501 & 65-14303
FBI (Washington) 1/18/65
FBI (Boston) 3/25/66; File #100-31726 & 100-424134
 re Edity Evelyn HALPERIN
FBI (Washington) 6/14/66; File # (S) 65-14303
FBI (Boston) 12/15/66
FBI (Washington) 10/10/67
FBI (Washington) 4/30/68
FBI (Boston) 7/14/72 re Maurice
FBI (Boston) 7/14/72 re Edith

HALPERIN, Maurice Ryan 201-128561 ✓
DOB: 3 March 1906
POB: Boston, Massachusetts
Wife: Edith Friesch HALPERIN 225361

128561/3
SECURITY Case
LOHAYFOAL

Subject is a U.S. citizen and a former OSS employee.
Subject has been closely associated with Martha Dodd and
Alfred K. [redacted] in Mexico, and he handled some of the
[redacted]'s business affairs. As of April 1959, Subj believed
to be in Moscow. RIR INPUT NO. 33

SEE: SR/CR/S dossier (Also see LOHAYFOAL Case files.)

OSCI-3/11/59 reported Subj's return address (received
on a Christmas card (1960), an Apt C-2, Calle 12, no 123,
Higueras, Habana, Cuba; first time that Subj has given a
return address on a greeting card.

201-128561

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REF: LONDON 74209 [IN 971297] (RELAYED OTTAWA)Y

1. PER REFERENCE REQUEST FOR TRACES ON MAURICE HYMAN
H A L P E R I N (201-128561), WE ARE FORWARDING VIA TM FIVE 1960
NEWSPAPER CLIPPINGS AND A RECENT ARTICLE FROM THE SIMON FRASER UNI-
VERSITY, BRITISH COLUMBIA, ALUMNI MAGAZINE FOR PASSAGE TO JAGUAR.
THIS INFORMATION IS PROVIDED PURSUANT TO OUR SERVICE TO SERVICE
ARRANGEMENT FOR THE EXCHANGE OF INFORMATION ON PERSONS OF COUNTER-
INTELLIGENCE INTEREST.Y

2. MAURICE HALPERIN HAS TWO CHILDREN: DAVID CARLOS BORN 5 MAY 1937 IN OKLAHOMA CITY AND JUDITH YVONNE BORN 1 SEPT 1931 IN BOSTON. (SOURCE: DBD-43144, 25 MAR 1966). LNERGO APPROVES PASSING TO JAGUAR. AGENCY RECORDS ON MORTON HALPERIN DO NOT REFLECT ANY RELATIONSHIP WITH MAURICE HALPERIN. HALPERIN IS A COMMON NAME.4

3. PUBLICATIONS SINCE 1957: "GROWTH AND CRISIS IN THE LATIN AMERICAN ECONOMY: 1961, "CONFERENCIAS SOBRE GEOGRAFIA ECONOMICA" 1964, "HACIA UN NUEVO SISTEMA DE PLANIFICACION Y DIRECCION

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DIRECTOR

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THE BOARD OF SUPERVISORS

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4. THE FOLLOWING IS FROM FHABOVE AND NEEDS THEIR CONCURRENCE TO PASS TO JAGUAR. MAURICE HALPERIN IS CURRENTLY TEACHING AT SIMON FRASER UNIVERSITY IN BRITISH COLUMBIA AND EXPECTS THAT THE UNIVERSITY WILL EXTEND HIS TEACHING APPOINTMENT TO AUGUST 1977. HALPERIN TOLD FHABOVE THAT HE AND HIS WIFE TOURED ISRAEL, ITALY, GREECE, SPAIN, AND ENGLAND IN THE SUMMER OF 1975. HE IS CURRENTLY WORKING ON THE SECOND VOLUME OF HIS BOOK ON CUBA. HE LEFT THE IMPRESSION WITH FHABOVE THAT WHEN IT IS FINISHED HE WILL BEGIN WORK ON HIS AUTOBIOGRAPHY. (SOURCE: OCOA-19102, 22 JAN 76)4

5. FOR OTTAWA: JAGUAR HAS REQUESTED TRACES ON MAURICE HALPERIN.
PLS NOTIFY LONDON IF FHABOVE APPROVES PASSING INFO FROM PARA FOUR
ABOVE TO JAGUAR-Y

6. FILE: 73-6-46/3. E2 IMPDET.H

DDO/AGC - A. E. GOLDIN (IN DRAFT)

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COORDINATING OFFICER

AUTHENTICATING SOURCE

CLASSIFICATION

REPRODUCTION BY OTHER THAN THE ISSUING OFFICE IS PROHIBITED

E 2 IMPDET

Ex-OSS Official Works For Reds in Moscow

By VICTOR LASKY

North American Newspaper Alliance

A former Boston University professor, who once was a high official in the OSS, has turned up in Moscow working for the Soviet government.

The professor, Dr. Maurice H. Halperin, fled this country seven years ago after an inquiry into his Communist background.

According to the State Department, he probably is advising Soviet officials on Latin American affairs, an area in which he is expert.

Prof. Halperin was suspended by Boston University in 1953 after he refused, on constitutional grounds, to tell a Senate subcommittee whether he had ever been a Communist Party member.

According to the State Department, he had previously been involved in Soviet espionage in wartime Washington. He had been named by Elizabeth Terrill Bentley, admitted former spy courier, as one of her sources of supply among Government officials.

Intrigue in Mexico

And since 1953, Dr. Halperin has been involved in still more intrigue as an "exile" in Mexico. It was he, for example, who assisted two suspected spies in their flight from Mexico to Czechoslovakia to avoid United States extradition proceedings.

On July 15, 1950, Dr. Halperin and his wife, Edith, appeared at the United States Embassy in Moscow to present their United States passports for renewal.

All this was disclosed in a State Department memorandum prepared for Senator Keating, Republican of New York, who has been urging the passage of Eisenhower-supported legislation empowering the department to deny passports to active participants in Soviet skulduggery.

The memorandum, citing "cases where passports have had to be issued to known Communists," devoted considerable attention to the case of



MAURICE H. HALPERIN

—AP Photo

Dr. Halperin. It was obvious on the basis of the information contained in his dossier that the State Department had been keeping an eye on the former professor's movements for some time.

Fired in Oklahoma

From 1931 to 1941, Dr. Halperin—a Harvard University graduate—had been a professor at the University of Oklahoma. According to the record, it was in this period that he directed Communist activities in Oklahoma and, on repeated visits to Mexico, conferred with Mexican Red leaders. On one visit to Cuba in 1935, he and other leftwingers had been arrested by Cuban authorities and expelled from the country.

In 1941, much of this was disclosed in an investigation conducted by an Oklahoma State legislative committee. The committee, investigating subversive activities in Oklahoma, produced information that in 1940 Dr. Halperin had cashed a check for \$436 drawn on the Bank of Foreign Trade in Moscow. As a result, Dr. Halperin was dismissed from the University of Oklahoma.

Despite this record, Dr. Halperin managed to enter Gov-

See HALPERIN, Page A-6

WASH DC

STAR

31 Aug 60

HALPERIN

Continued From First Page

ernment service. Employed as chief of the Latin American division of the Office of Strategic Services (the OSS was then the United States Civilian Intelligence-Gathering Agency), he later was transferred to the State Department. In both agencies, according to the Elizabeth Bentley testimony, he transmitted confidential documents to a Soviet spy ring.

Linked to Spy Ring

In 1949, he became head of the Latin American regional students section at Boston University's College of Liberal Arts.

A year later, he was first publicly identified as a member of a Soviet spy ring. This came about when Vice President Nixon, then a California Congressman, made known a top secret memorandum on espionage transmitted by FBI Director J. Edgar Hoover to the White House on November 8, 1945.

In March, 1953, in Boston's Federal Building, Dr. Halperin repeatedly invoked the Fifth Amendment when—in a hearing conducted by the Senate Internal Security Subcommittee—he refused to reply to

various questions concerning his alleged Communist activities.

In November, 1951, Dr. Halperin and his wife, without notifying the university, left their home in the middle of the night never to return. Turning up in Mexico, they became members of the American Communist group in Mexico.

Like most ACGM members, the Halperins did well. They invested in an ice cream company, dabbled in real estate, and obtained jobs. Dr. Halperin was employed by the Mexican government as a financial consultant and his wife taught in the American School Foundation.

They also became friendly with Alfred K. Stern and his wife, the former Martha Dodd, daughter of a former United States Ambassador to Germany. The fact the Sterns had been implicated in Soviet espionage came out with the arrest in New York of Jack Soble, a Soviet agent. To avoid being extradited to the United States to testify before a special grand jury on their associations with Soble and "the double agent Boris Morros," the Sterns fled to Czechoslovakia in July, 1957.

Dr. Halperin, described as being "intimately involved in these plans for escape," had obtained airline reservations for the Sterns under other names. As a result, the Mexican government was considered in his case for deportation. On October 13, 1958, the Halperins left Mexico, having obtained plane reservations in the names of other persons.

In Russia Since 1953

The State Department memorandum concludes:

"We received information shortly after Halperin's departure from Mexico that he was employed in Moscow by the USSR. This was confirmed on July 15, 1950, when the Halperins appeared at the American Embassy in Moscow and presented their United States passports for renewal."

Halperin stated that he had been in the USSR since December, 1953, and that he is employed by the Soviet Academy of Sciences where he is doing research relating to underdeveloped countries.

"It will be recalled that Halperin is something of an authority on Latin American affairs. Our information indicates that this probably is the field in which he is working at the present time."

"The Soviet Academy of Sciences is directly subordinate to the Council of Ministers."

Washington Post
1 Sept. 1960

Thursday, Sept. 1, 1960 THE WASHINGTON POST

Halperin, U. S. Ex-Aide, Is Now a Soviet Official

Associated Press

The State Department says that Maurice H. Halperin, a former United States Government official linked by Senate investigators to Soviet espionage activities, apparently is serving now as a Latin-American adviser to the Russians.

Halperin was head of the Latin-American Division of the Office of Strategic Services during the latter part of World War II and later an associate professor at Boston University. Before the war, he had been on the faculty of the University of Oklahoma.

In 1954 he was dismissed by Boston University after Senate investigators received testimony linking him to a Soviet spy ring and he refused to answer a question as to whether he was a Communist.

The latest information on Halperin's whereabouts is contained in a report compiled by the State Department about persons to whom it has been compelled to issue passports under a 1953 decision of the Supreme Court.

The Court held that the Department lacks authority to



Associated Press

MAURICE H. HALPERIN
... believed Soviet employee

deny passports to Communists or to other persons because of their political beliefs.

After the ruling, Halperin applied for a passport at the

American Embassy in Mexico City, where he had gone after being dismissed by Boston University, and used it to leave Mexico on Oct. 13, 1958.

The State Department said that shortly after Halperin and his wife departed from Mexico it received information that he was employed in Moscow by the Soviet Union.

"This was confirmed on July 15, 1960, when the Halperins appeared at the American Embassy in Moscow and presented their U. S. passports for renewal," the Department's report said, adding:

"Halperin stated that he had been in the U.S.S.R. since December, 1958, and that he is employed by the U.S.S.R. Academy of Sciences where he is doing research in the foreign field, specially, relating to undeveloped countries."

The Department recalled that Halperin was something of an authority on Latin-American affairs and said, "Our information indicates that this probably is the field in which he is working at the present time."

FORMER U. S. AIDE AT WORK IN SOVIET

Ex-Boston U. Professor Was
in O.S.S. in World War II

By JACK RAYMOND
Special to The New York Times

WASHINGTON, Aug. 31—The State Department has disclosed that a former United States Intelligence official is in Moscow working for the Soviet Government.

He is Dr. Maurice Halperin, a former Boston University professor who was head of the Latin American Division of the Office of Strategic Services during World War II.

Dr. Halperin was dismissed from Boston University in 1934 after he refused to say whether he was a Communist. He has been linked in testimony before Senate investigators to a Soviet spy ring.

The State Department's disclosure was contained in a memorandum in support of a proposed law to deny passports to persons supporting international communism.

The Supreme Court ruled in 1953 that passports could not be denied to Communists or others because of their political beliefs.

Dr. Halperin and his wife, Edith, were then in Mexico City, the State Department noted. They had been linked to the escape behind the Iron Curtain of Alfred K. and Martha Dodd Stern, who were under Federal indictment for espionage.

After the Supreme Court ruling, the Halperins applied to the United States Embassy in

Continued on Page 7, Column 1

FORMER U. S. AIDE AT WORK IN SOVIET

Continued From Page 1, Col. 1

Mexico City for passports and left Mexico Oct. 13, 1953. At the time, the State Department noted, they were about to be deported from Mexico for Communist activities.

Reports that Dr. Halperin was working for the Soviet Government in Moscow were confirmed last July 15, the State Department memorandum went on, when he and his wife appeared at the embassy to renew their passports.

Embassy officials reported that they had been told by Dr. Halperin that he and his wife had been in the Soviet Union since December, 1953, and that he was working for the Soviet Academy of Sciences "doing research in the foreign field, especially relating to underdeveloped countries."

The State Department, recalling that Dr. Halperin was an authority on Latin American affairs, added that "our information indicates that this probably is the field in which he is working at the present time."

State Department officials have repeatedly called attention to the Soviet trade and cultural offensive in Latin America.

Referring to Dr. Halperin's employment by the Soviet Academy, the State Department observed that it was directly subordinate to the Soviet Council of Ministers.

Requested by Keating

The memorandum was prepared at the request of Senator Kenneth H. Keating, Republican of New York. He and Senator Thomas J. Dodd, Democrat of Connecticut, are sponsoring a bill that seeks to restore some of the State Department's authority to deny passports.

Senator Keating, who entered the memorandum in The Congressional Record of Aug. 16, expressed regret at the time that his bill was not likely to be passed in this session of Congress. President Eisenhower and State Department officials have backed the purpose of the measure.

Meanwhile, Representative Francis E. Walter, Democrat of Pennsylvania and chairman of the Committee on Un-American Activity, said he had ordered a month-long preliminary investigation into the case of two missing employees of the National Security Agency.

The two employees of the top secret coding agency, Benson F. Mitchell and William H. Martin, are alleged to have taken valuable secrets to the Soviet Union.

Representative Walter said that he understood that one of the missing men had made enquiries in their agency about the U-2 reconnaissance plane flight over the Soviet Union before it took place on May 1.

Mr. Walter said that he was convinced that the Soviet had had advance knowledge of the flight. Francis Gary Powers, the U-2 pilot, was downed in the Soviet Union and subsequently sentenced to ten years' detention.

Refused to Appear

BOSTON, Aug. 31—Dr. Halperin was officially dismissed from the faculty of Boston University on Jan. 6, 1954. He had refused a summons to appear before a university committee of review to discuss his case.

Dr. Harold C. Case, president of the university, telephoned Dr. Halperin in Mexico City offering to pay his expenses to Boston and return. But the professor declined. He had been chairman of the university's Latin-American regional studies.

NYT
1 Sept 60

STAR

5 Sept 60

Halperin Denies Giving Reds Advice on Latins

MOSCOW, Sept. 3 (AP)—Maurice H. Halperin, former United States Government official, who now lives in Moscow, yesterday accused the State Department of issuing a "deliberate and malicious distortion" about his status here.

In a typewritten statement, Mr. Halperin said: "There is nothing mysterious or dubious about my being in Moscow. I am a visiting professor at the USSR Academy of Sciences and not the first American to be associated with it."

Last week Senator Keating, Republican of New York, read into the Congressional Record a State Department document which said Mr. Halperin is now in Moscow and is "working for the Soviet government."

Needed OSS Unit

The document said there were indications Mr. Halperin is giving the Soviet government advice on Latin-American affairs. During World War II, Mr. Halperin was head of the Latin American division of the United States Office of Strategic Services, an intelligence

After the war, Mr. Halperin got a job with Boston University as a professor. He lost this job in 1953 because he refused to tell congressional investigators whether he ever had been a member of the American Communist Party.

The bald, mustached 34-year-old Mr. Halperin was located Sunday in a Moscow apartment house by a newsmen and was asked to comment on the State Department's document.

Declines to Elaborate

(Moscow reported there were "technical difficulties" in moving the story until Monday. The newsmen apparently was cut off while trying to telephone the story.)

Mr. Halperin first declined to admit the newsmen into his apartment, but agreed to meet him later in the lobby of a nearby hotel where he promised to give a written statement.

Mr. Halperin appeared punct-

ually at the agreed hour. He stated it will be available to the State Department and anybody else willing to purchase a copy.

The United States Embassy in Moscow declined to comment on the State Department statement or on Mr. Halperin's statement. It did say he appeared at the embassy in July and asked to have his American passport renewed. The request was granted, an embassy official said.

"I am a visiting professor at the USSR Academy of Sciences and not the first American to be associated with it, as some of our leading scientists can testify. My appointment and my Soviet visa expires in July, 1961, as the United States Embassy here very well knows."

"My teaching and research activities involve theoretical problems related to the economic growth of underdeveloped areas. For the State Department to say that I am 'advising the Russians on Latin-American affairs' is a deliberate and malicious distortion."

"Specifically, I am making a study of the Latin-American economy from 1914 to the crisis of 1934. When my book is published, it will be available to the State Department and anybody else willing to purchase a copy."

Washington to
5. Sept. 1960

Halperin Says Reds Not Not Employ Him

MOSCOW, Sept. 4 (UPI) — Maurice H. Halperin today branded a reported State Department statement that he is working as an adviser to the Soviet government on Latin American affairs as a "deliberate and malicious distortion."

In a statement to reporters, Halperin said he is a professor associated with the Academy of Sciences of the USSR, working on a book on Latin American economics, and he explained the American authorities know all about it.

(A State Department document in Washington last week said Halperin, a former Boston University professor and World War II intelligence specialist on Latin American affairs, was working for the Soviet government.)

Sen. Kenneth B. Keating (R-N.Y.) had the document entered in the Congressional Record.)

"It seems to me that the State Department and Senator Keating are sweeping the bottom of the barrel to come up with this red herring," Halperin said.

"There is nothing mysterious or dubious about my being in Moscow. Since my arrival, I have filed two U. S. income tax returns, listing my salary and employer.

"I am not working for the Soviet government any more than Van Cliburn when he performed in a government-owned concert hall," he continued.

"I am a visiting professor in the USSR Academy of Sciences and not the first American to be associated with it. For the State of Department to say that I am an adviser to the Russians on Latin American affairs is a deliberate and malicious distortion.

"Specifically, I am making a study of the Latin American economy from 1943 to the crisis of 1953."

"COMMENT", Dec 75 issue
(alumni magazine of
Simon Fraser University
in British Columbia.)

By Nick Ryko

Fortune, or chance, has played a major role in Simon Fraser Political Science professor Dr. Maurice Halperin's nearly seventy years of life.

It was chance that compelled him to seek employment with the National University of Mexico. It was chance that earned him a three-year post in Moscow. It was chance that led him to a teaching post in Cuba. And it was chance that brought him to Simon Fraser seven years ago.

In fact, fortune began working its capricious influence over his life even before Dr. Halperin was born.

"I might have been born in Canada," he says. "My father sailed from Liverpool to the New World at the turn of the century, with Montreal as his destination. However, he decided to move on to Boston."

This was a "rather fortunate circumstance over which I had no control," since Montreal at the time was still a provincial city while Boston was "a metropolis, a great centre of culture and learning and all its splendid facilities were available to me."

Among those facilities which he made use of were the Boston Latin School — a secondary school which boasts such luminaries as Benjamin Franklin and Ralph Waldo Emerson among its alumni — and Harvard University.

He completed his postgraduate work in Paris at the Sorbonne which, at that time, was the most prestigious university in the world and "the impact it had on my way of life and thinking was a permanent one."

While attending the Sorbonne, Dame Fortune stepped in and "I was appointed to the faculty as a Reader in North American Civilization. Even though I came from Harvard, the level of competence and scholarship of the French students was much higher and I had to work very hard to keep up with them."

After completing his doctorate, Dr. Halperin joined the faculty at the University of Oklahoma and found that mid-western state to be "like another country. Boston and Paris were much closer related than were Boston and Oklahoma."

However, chance intervened to rescue him from what could have been a number of indifferent years in a then small and remote university on the American Prairies, turning them into years spent in "an oasis of high culture."

Dr. Halperin explains:

"It was during the Depression and jobs were scarce, so the university attracted a number of bright teachers and researchers whose first choice might not have been Oklahoma."

"And when you have a group of people like that, in addition to the opportunity of understanding and learning to appreciate the virtues of middle America, well, it was something that stayed with me for the rest of my life."

The Second World War interrupted Dr. Halperin's sojourn at the University of Oklahoma and he joined the Office of



Maurice Halperin, Simon Fraser's oldest professor, is a keen musician who has played with the New Westminster Symphony Orchestra.

Strategic Services, a special research and intelligence agency attached to the Joint Chiefs of Staff.

"I had a front row seat in the planning of some of the most interesting operations of the war," he says. "I consider my experiences there were at least the equivalent of a second PhD because I was associated with a whole group of social scientists in different disciplines."

"Through this, I had the realization that we had practical matters to consider in the social sciences, as well as theoretical ones. And I learned the value of co-operative effort, the value of getting work done on time, and the value of efficiency in research."

"It helped me to see the relationship between the social sciences and practical problems. I became more oriented toward problem solving than I had been before."

3

"It's the best place, all in all, that I've ever been to. Students and faculty have it very good here and I'm not talking only about the splendid scenery and the mild climate. There are facilities for learning, teaching, research and recreation. I'm a steady customer at the swimming pool and the tennis courts. You know — are magnificent."

SECRET

(When Filled In)

EXTRACT AND CROSS REFERENCE

DOCUMENT IDENTIFICATION					
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DOC. SUBJECT

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SOURCE INFORMATION		
SOURCE CRYPTONYM	DATE OF INFO.	EVALUATION

TEXT OF EXTRACT (Also cite doc. distribution. Dissem. No., etc., if applicable)

JAGUAR HAS PROVIDED THE FOLLOWING:

MAURICE HALPERIN. BORN BOSTON, MASS. 3 MAR 1906. WIFE EDITH NEE FRISCH, BORN 6 JULY 1907, WABASH, INDIANA. TWO CHILDREN BORN 1939 (NAD) 1934, NAMES UNKNOWN. SUBJ IS IDENTIFIED AS A COMMUNIST. FROM 1931 TO 1941, HE WAS PROFESSOR OF LANGUAGES AT UNIVERSITY OF OKLAHOMA. 1941 HE WAS SOCIAL SCIENCE ANALYST, OFFICE OF COORDINATOR OF INFORMATION AND IN 1945 WAS IN THE RESEARCH SECTION OF OSS. IN 1953 HE LEFT THE USA FOR MEXICO. ON 10 NOV 1958 HE WENT TO AUSTRIA FROM SWITZERLAND WITH HIS WIFE AND CROSSED INTO CZECHOSLOVAKIA. IN DEC 58 HE WAS BELIEVED TO BE IN MOSCOW. IN 1960 HE WAS IN MOSCOW WORKING FOR THE SOVIET GOVERNMENT AS AN AUTHORITY ON LATIN AMERICA. IN 1962 SUBJ AND HIS WIFE WERE IN CUBA REPRESENTING "NATIONAL GUARDIAN". RIS CONNECTIONS: IN 1942 HE WAS EMPLOYED BY THE LATIN AMERICA BRANCH. HE PROVIDED OSS AND STATE DEPT REPORTS TO THE RIS THROUGH MARY PRICE AND ELIZABETH BENTLY. JAGUAR SAYS THEY HAVE ADDITIONAL ESPIONAGE INFO IN THEIR FILES.

Indicate The Subject, Project Or
201 File No. In Which This
Form Is To Be Filed.

201-128561

SUBJECT OF 201 (Last, First, Middle)

HALPERIN, Maurice Hyman

201-128561

FORM 867
12-72

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ROUTING AND RECORD SHEET

SUBJECT: (Optional)

Incoming Pouch Message

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DATE 22 Jan 76

TO: (Officer building) SECRET E2 IMPDET CL BY 004108

COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)

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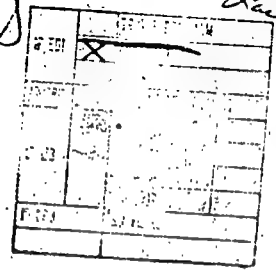
ACTION

201-128561

FORM 610A PREVIOUS EDITIONS

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CAUTION - THIS FORM REQUIRES
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FROM Chief of Station, Ottawa <i>W</i>			
SUBJECT FKTOP FHABOVE KMSTONE/ on Soviet Agent Maurice Hyman HALPERIN (201-0128561) FHABOVE Comments and Transmittal of Magazine Article			
ACTION REQUIRED - REFERENCES Action: FYI			
<p>1. FHABOVE reports that on 13 January 1976 it again spoke to Subject who, although remains approachable, declined to elaborate on previous comments concerning the 1940's. Concerning himself, Subject said that he and his wife toured Israel, Italy, Greece, Spain and England in the summer of 1975. Work on the second volume of his book on Cuba has fallen behind. HALPERIN left the impression that once the Cuba book is out of the way, he will commence his autobiography. He also expects that Simon Fraser University will extend his teaching appointment to August 1977.</p> <p>2. Transmitted herewith as received from FHABOVE is an article on Subject taken from the December 1975 issue of "COMMENT", the alumni magazine of Simon Fraser University in British Columbia.</p>			
Attachment: As stated h/w		<i>Adrian O. Baghurst</i> Adrian O. BAGHURST	
Distribution: 3 - C/SE w/att. h/w 2 - C/LA w/att. h/w (LA/C) 2 - C/WOMUSE w/att. h/w (CI/Reg) 2 - C/EUR w/att. h/w (E/BL/C)			
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CROSS REFERENCE TO		DISPATCH SYMBOL AND NUMBER OCCA-19102	DATE 22 January 1976
		CLASSIFICATION SECRET	HQS FILE NUMBER 201-0128561

PROFESSOR MAURICE HALPERN

"COMMENT", Dec 75 issue
(alumni magazine of
Simon Fraser University
in British Columbia.)

By Rick Hyda

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This was a "rather fortunate circumstance over which I had no control," since Montreal at the time was still a provincial city while Boston was "a metropolis, a great centre of culture and learning and all its splendid facilities were available to me."

Among those facilities which he made use of were the Boston Latin School — a secondary school which boasts such luminaries as Benjamin Franklin and Ralph Waldo Emerson among its alumni — and Harvard University.

He completed his post-graduate work in Paris at the Sorbonne which, at that time, was the most prestigious university in the world and "the impact it had on my way of life and thinking was a permanent one."

While attending the Sorbonne, Dame Fortune stepped in and "I was appointed to the faculty as a Reader in North American Civilization. Even though I came from Harvard, the level of competence and sophistication of the French students was much higher and I had to work very hard to keep up with them."

After completing his doctorate, Dr. Halpern joined the faculty at the University of Oklahoma and found that mid-western state to be "like another country. Boston and Paris were much more closely related than were Boston and Oklahoma."

However, chance intervened to rescue him from what could have been a number of indifferent years in a then small and remote university on the American Prairies, turning them into years spent in "an oasis of high culture."

Dr. Halpern explains:

"It was during the Depression and jobs were scarce, so the university attracted a number of bright teachers and researchers whose first choice might not have been Oklahoma."

"And when you have a group of people like that, in addition to the opportunity of understanding and learning to appreciate the virtues of midwestern America, well, it was something that stayed with me for the rest of my life."

The Second World War interrupted Dr. Halpern's sojourn at the University of Oklahoma and he joined the Office of



Maurice Halpern, Simon Fraser's oldest professor, is a keen musician who has played with the New Westminster Symphony Orchestra.

Strategic Services, a special research and intelligence agency attached to the Joint Chiefs of Staff.

"I had a front row seat in the planning of some of the most interesting operations of the war," he says. "I consider my experiences there were at least the equivalent of a second PhD because I was associated with a whole group of social scientists in different disciplines."

"Through this, I had the realization that we had practical matters to consider in the social sciences, as well as theoretical ones. And I learned the value of co-operative effort, the value of getting work done on time, and the value of efficiency in research."

"It helped me to see the relationship between the social sciences and practical problems. I became more oriented toward problem solving than I had been before."

H/Wax 1-CCOA-19102

H/Wax 1-CCOA-19102

SC1-128561

*Dame Fortune keeps popping up
in life of SFU's oldest professor
and has taken him to Mexico, Cuba,
Moscow — and to 'best place of all'*

After the war, Dr. Halperin worked with the United Nations for a couple of years and then joined the faculty of Boston University where he was involved in the establishment of an interdisciplinary department of Latin American studies.

During this period he visited Brazil twice, lecturing at the University of Sao Paulo and serving as a consultant to the state government of Sao Paulo. After his second visit, he was decorated by the Brazilian government with the Order of the Southern Cross.

It was then that chance interfered again, this time in a most malicious way.

"My stay at Boston coincided with the most intense period of McCarthyism and this raised problems for a great number of people at the time. In my own case, I was given the choice of co-operating with the Inquisition or, as it turned out, losing my job.

"Among other disagreeable aspects of co-operating, it would have turned me into an informer against people who, as far as I knew, had committed no crime. I just couldn't think of facing my students with their knowledge that I was an informer."

Leaving Boston University, he went to the National University of Mexico City where he remained for six years. While working there, he did some consulting work for the Mexican government, thereby gaining further practical experience on the problems of underdevelopment in Latin America.

This experience led directly to his next position — a three-year appointment at the Academy of Sciences in Moscow. Halperin explains:

"At that time, the period when Khrushchev was trying to loosen up some of the rigid controls of Stalin's dictatorship, there was a desire at the Academy to have some western expertise on Latin American developmental problems. In other words, my Mexican experience was a direct prerequisite for the Moscow invitation.

"It's strange how, in retrospect, what seemed to be a rather difficult choice in 1953 turned out to be very lucky. You never know. Something happens that can crush you or, on the contrary, can make you healthier and more robust than you've ever been before.

"And, when the invitation came from Moscow, I was foot-loose and free. My status in Mexico was always that of a visiting professor, so I had no problem of security — tenure or pension, for example — to be let down when it came. I had nothing to lose and everything to gain."

Dr. Halperin spent three years at the Academy and found it to be an extraordinary experience. "I wasn't there as a tourist; neither was I there as an outside researcher. I was actually in the machine, with no permanent ties to it. I was working in the factory, rather than just studying it."

Fate once again intervened in his life, this time in the form of the celebrated Latin American guerrilla leader, Che Guevara.

"Late one night, there was a knock on my door and I opened it and there stood Che with a couple of his Cuban friends. He had been told about me by mutual friends in Mexico and they suggested that, in view of the need for competent educators and social scientists in Cuba, I might be interested in accepting an invitation to teach at the University of Havana."

As it happened, Dr. Halperin was interested. He spent the next six years in Cuba, and after leaving, produced a book on the country — *The Rise and Decline of Fidel Castro: An Essay in Contemporary History*. It was published by the University of California Press and a second edition, in paperback, has recently appeared. (Incidentally, Dr. Halperin's published work spans 45 years and "several thousand pages.")

As with the Moscow appointment, it was the fact that he was freed of concerns about job security, since he had none, which allowed him to accept Guevara's offer.

Although Cuba was "a very pleasant country," Dr. Halperin found life there to be somewhat disconcerting since, "as a highly-paid foreign expert, I lived high off the hog and didn't share the austerity of the rest of the country."

"And it was troubling, you know, when neighbors would knock on our door to see if we could spare a thimbleful of salt or a tablespoon of cooking oil and there we were, sitting with everything at our disposal."

And a further quirk of fate, naturally, brought him to Simon Fraser in 1968. He'd met a Canadian while in Havana and one day, about a year later, he received a phone call "from a niece called Burnaby." It was the same Canadian, inviting him to participate in a seminar on Latin America, with "all expenses paid."

When he received the invitation, in the spring of 1968, he had already resigned his position in Havana and was planning to return to the United States the following September. "When the invitation came, I thought, 'Two weeks? Why not?' so I accepted and came up here and, like the man who came to dinner, they couldn't get rid of me.

"I never dreamed I would end up in Canada. Three-quarters of a century after my father left it, but I now feel thoroughly assimilated to the Canadian environment." In fact, he's so well assimilated that he is now listed in the Canadian edition of *Who's Who*.

During his well-travelled life, Dr. Halperin has had the opportunity to teach at a number of reputable institutions in North America, Europe and Latin America but he says that he's "never been in a more congenial place than Simon Fraser, never in my life.

"It's the best place, all in all, that I've ever been. Students and faculty have it very good here and I'm not talking only about the splendid scenery and the mild climate. The facilities for learning, teaching, research and recreation are a steady customer at the swimming pool and the — you know — are magnificent."

201-128561

C-O-N-F-I-D-E-N-T-I-A-L
When Filled In

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1975

Review of 201 File on U.S. Citizen

In accordance with the DDO's notice of 9 December 1974,
I have reviewed the 201 file on WATKIN (surname)
(201 number indicated below), and have determined that it can
most accurately be categorized as indicated below:

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- ☐ Witting collaborator. OI Code A1.
- ☐ potential witting collaborator; date opened _____
OI Code A2.
- ☐ former witting collaborator (relationship terminated).
OI Code A3.
- ☐ potential witting collaborator never contacted (security
reasons, derogatory information). OI Code A4.
- ☒ counterintelligence case (i.e., involving a foreign
intelligence or security service). OI Code A5.
- ☐ all others. OI Code A6.
- ☒ unwitting collaborator. OI Code A7.

Signed John Bickerton Rev. Officer 95/200
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OI Code A2.
- ☐ former witting collaborator (relationship terminated).
OI Code A3.
- ☐ potential witting collaborator never contacted (security reasons, derogatory information). OI Code A4.
- ☒ counterintelligence case (i.e., involving a foreign intelligence or security service). OI Code A5.
- ☐ all others. OI Code A6.

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Independence Entangled

The Rise and Decline of Fidel Castro

by Maurice Halperin

(University of California Press, \$12.95)

At the end of a passage describing the euphoric early days of the Cuban Revolution, Maurice Halperin laments: "Alas, it was destined to change." In effect, this attitude symbolizes his reaction and assessment of Castro and Cuba in this first volume of a two-part study of the revolution from the first days of Fidelism to the present. A note of frustration which occasionally marks his narrative of the first five years of the revolution undoubtedly comes from his sense of the unfulfilled promise of the July 26th Movement. For a great many Western intellectuals (including Halperin), this has been a characteristic reaction. In the first exuberant months, it seemed as if Cuba might become the first Socialist revolution to rise spontaneously out of historical circumstances, not led by a rigid party structure, and the first to proclaim a "pious socialism," rather than the virtuous necessities of work norms, ideological conformity and sacrifice. After several years of living in Cuba, through its best and bleakest moments, Halperin feels that this possibility is extinguished. One feels that he wanted very much for it to succeed. His book is an explanation of why the revolution failed.

Although the current volume only covers the first five years of the revolution, the author breaks into the chronology to keep the next years' events in mind. Everything about the revolution was in flux as he sees it, but at the same time Castro's bungling, bravado and adventurism made surrender to a rigid, bureaucratic socialism almost inevitable. Nothing, from Halperin's point of view, could be a sadder reflection of this revolution than the support Cuba lent to the 1968 Soviet invasion of Czechoslovakia. Halperin's basic argument is that Castro's "rise and decline" must be seen as an international event. For this reason he writes primarily about the

foreign policy of the revolution (the only pictures in the book show Castro during his post-trip to the Soviet Union).

If it is accurate to say that the revolution was pushed leftward and finally into an alliance with the Soviet Union by the inept and hostile policy of the United States, then it is also true that Cuba's fate has continued to depend upon the international struggle between the US and the Soviet Union. While Castro may have been committed to Cuban nationalism and social reform at home, he could, ironically, only achieve these goals by means of an aggressive foreign policy.

Castro remains a vague and blustery figure while Che Guevara figures hardly at all. By placing his emphasis elsewhere, Halperin tells the story of the revolution through the events which touched it from the outside, particularly those which effected its ties to the Communist world. Most important were the Sino-Soviet struggle, the world price of sugar, the internal bureaucratic struggle of the USSR, the changing American presidency, the role of the Cuban Communist Party, the CIA, and so on. Gathering his facts primarily from magazine and newspaper articles, plus his own first-hand knowledge of events, he pieces together a fascinating reading of Castro's speeches, policy changes and their context. The shifting and rather indecisive attitude of the revolutionary leadership toward artistic and intellectual liberty, for example, has stemmed from Castro's need to balance conflicting demands: for orthodoxy by Cuban and Russian Communists, and for greater freedoms by Western intellectuals.

Castro's dilemma has always been to assert Cuban independence, and yet retain alignment with the USSR. Perhaps this contradictory policy was doomed, if for no other reason than Cuba's enormous dependence upon other nations to absorb her products

and provide her with energy. In any case, when Castro opted to exploit the Cold War and let Khrushchev load the bill, he created the crisis which Halperin pinpoints. He made the economic success of the revolution at home an absolute necessity, yet a necessity that could only be met with outside help. Castro's own impatience, inefficiency and indecision made matters worse. From the early attempts to industrialize to the abrupt and devastating decision to produce a mammoth sugar crop in 1970, the Cuban economy, the author argues, has continually sunk to lower levels. The result is Castro's dependence upon the Soviet Union, an admission of failure.

Halperin's emphasis on foreign affairs makes good sense, but leaves little room for discussion of the internal dynamics of the revolution and of the impact of social reforms on the population. It makes what Castro said and did, and the revolution itself, explicable only in a sort of code of international affairs. However, given the volatile nature of Cuban politics and the Cold War, it still seems too early to write off the revolution.

James Gilbert

201-128561

CHRISTIAN SCIENCE MONITOR
17 January 1973

Fidel Castro

Gifted demagogue

The Rise and Decline of Fidel Castro — An Essay in Contemporary History, by Maurice Halperin. Berkeley, Los Angeles, London: University of California Press. \$12.95.

By Philip W. Bonsal

Maurice Halperin has been forced out of two teaching positions at American universities because of his political beliefs. He spent three years on the faculty of the Soviet Academy of Sciences, went to Cuba in 1962 at the invitation of Che Guevara and, though his relations with Guevara quickly deteriorated, spent six years at the University of Havana.

Though one might expect from such a background that dreary mix of the stuffy and the shrill so often associated with the polemics of the far left or right, Professor Halperin's book is a work of insight, wit and understanding.

In this book he concentrates on the first five years of Castro's rule, through 1964, with a sequel to come. However, this volume contains enough about the later years to warrant the use of the word "decline" in his title.

After stressing the pride and optimism of the revolution's fifth anniversary, he writes that none of those present at its celebration "could remotely imagine the disappointments and failures that lay ahead, and that on the tenth anniversary of the Revolution, there would be only bitter austerity and hard work — and the tarnished but unflinching charisma of Fidel — to arouse their enthusiasm."

Professor Halperin's account of Castro's first two years in power (when the author was not yet in Cuba) does not give due credit to the United States. After all, initially the United States did make efforts to find an accommodation with Castro, despite the latter's vicious anti-Americanism and his plundering of American properties in ways not even provided by Castro-dictated legislation.

Nor does he adequately explain how, after the policy of accommodation had been abandoned, injudicious American economic sanctions plus the Bay of Pigs failure left the presumably reluctant and sceptical Russians no choice other than massive support for Castro and Guevara.

But when Castro and Khrushchev had become masters of the Cuban people's destiny, Professor Halperin comes into his own. His description of the relations between those volatile and voluble rulers is a delight. The Chairman's surrender over the missiles in his October, 1962, confrontation with President Kennedy, without informing Castro first, much less consulting him, was deeply humiliating to his Cuban ally.

Yet by April of 1963 the sulks and strains of the previous fall had been banished. With unconfessed rhetoric the two autocrats bemused their people and themselves into the spectacular euphoria that surrounded Castro's visit to Russia. How this was done is but one engaging example of Professor Halperin's analytic and narrative talents.

This exuberant reconciliation did not prove lasting. Khrushchev was overthrown — perhaps partly because of his unprofitable strategic involvement in Cuba. Castro's export of subversion and insurrection to other American republics was considered tactically undesirable by the Kremlin.

Guevara's fatal campaign in Bolivia and other setbacks, combined with the failure of grandiose but unsound schemes for industrialization and agricultural diversification at home, considerably diminished Castro's stature.

His downward curve was further accelerated by failure — after six years of preparations and exhortations — to produce ten million tons of sugar in 1970 and by the disruption of the Cuban economy that effort had caused. Castro's later reconciliations with his Russian patrons smacked more than a little of submissions.

Professor Halperin also describes the Washington-initiated exploration during the final weeks of President Kennedy's life, of a basis for discussions between the Cuban and American governments. He believes that if Kennedy had lived something constructive might have evolved from the effort. His conclusion is debatable, since nine years have now elapsed without any significant positive development.

During those nine years there has indeed been a cooling down of American attitudes toward Cuba.

But Castro's attitude toward the United States has not changed. His concept is still that his people, armed to the teeth and knowing only what he chooses to tell them, must remain blindly united around their Maximum Leader, ready to thwart the plots of the once defeated but still wickedly unrepentant imperialists.

This is unfortunate. Although a return to the old association between the two countries is neither desirable nor possible, the people of both would profit from a rational relationship. This will not be easy to establish even when both governments are fully ready to face the task. Meanwhile in the light of Professor Halperin's brilliant account of contemporary Cuba, it seems reasonable to speculate that increasing numbers of Castro's subjects are less willing now to entrust their lives to the phenomenally gifted orchestrator of mass emotions — politically often astute yet repeatedly incompetent in so many fields — who has been their absolute ruler since 1959.

Philip Bonsal was American Ambassador to Cuba, 1959-1960; the first two years of Castro's rule. He is the author of "Cuba, Castro, and the United States" (University of Pittsburgh Press).

Old "Faintly Phil"

201-128561

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FALL BOOKS 1972

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The Rise and Decline of Fidel Castro

Maurice Halperin

The author of this unusual book was twice forced out of teaching positions at American universities because of his political beliefs. He spent three years on the faculty of the USSR Academy of Sciences and five years, from 1962 to 1968, at the University of Havana. He went to Cuba in 1962 on the invitation of Che Guevara, who had visited him in Moscow, and there gathered the materials for this intimate portrait of the Castro regime.

Mr. Halperin concentrates his attention on Castro's foreign policy, placing it in the context of domestic policy and conditions. Obviously skilled in reading the new socialist rhetoric, Professor Halperin guides the reader through the maze of documents, speeches, and propaganda which constitute the record of the Castro regime during the sensational events involving Kennedy, Khrushchev, missiles, and the CIA. Although the main narrative is concerned with the years 1959-1964—primarily the period of the rise of Fidel Castro—it contains digressions into events of the following years when, according to the author,

or, Castro's great utopian dreams turned into nightmares. A second volume, carrying the story down to the present, is in preparation.

Maurice Halperin is Professor of Political Science at Simon Fraser University, Vancouver, B.C.

"A brilliant contribution to the literature on contemporary Cuba and perhaps even more a remarkable series of insights into the new politics of mass society."

—Woodrow Borah

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201-128561

*See K -
has this been
in your hands?*

SECRET

16 JUL 1971
JX-53066

24th June 1971

A/544

*from Israeli
Liaison*

Dear RJ,

Maurice Hyman HALPERIN

Reference John W.'s memorandum to
Joseph H. dated 4 November, 1969.

1. Unfortunately in your letter under reference you did not mention Subject's date of birth. Our inspection of Border Control lists show that two people have entered ISRAEL who might possibly be identical with Subject:
 - a. Morris H. ALPERN
Father's name Solomon
Born 1886, POLAND
Holder of United States passport no. B-246607
Visited ISRAEL from 20 May, 1964 - 22 June 1964.
 - b. Morris Hyman HALPERIN
Father's name: Henny
Born 1886, POLAND
Holder of United States passport no. A-1735875
Entered ISRAEL on 13 October, 1970.
2. Is Subject identical with either of the two above?
3. We should be most grateful for additional personal details on Subject.

SECRET

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file HALPERIN

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10 NOV 69 IN 82952

DIRECTOR

RYBAT RHYTON

NO. 1685

REF: (NFVW-2965)

1. KKCOLLAR STUDIED REF WITH GREAT INTEREST. REQUESTED ANY INFO THAT MIGHT BE AVAILABLE OR COULD BE OBTAINED ON POSSIBLE RELATIVES KKCOLLIER AND ASSOCIATION KKCOLLIER CULTURAL OR FINANCIAL SUPPORT ORGANIZATIONS IN VOLADY.

2. DIFFICULT FOR HIM FIND PEG ON WHICH TO HANG APPROACH WITHOUT SOME KKCOLLIER MOTIVATION WHICH BOTH KKCONCERTMASTER AND SUBJECT RJ-2365 HAVE EXHIBITED.

SECRET

11 Nov 69

Talked with Keifal. He will query Bureau for any possible info re (1) relations - Israel (2) any connection with Zionist organization.

RBP

Hannah Rhyton HALPERIN
RBP

① Yes. As per Keifal. Keifal will check to effect that in relation to info we have access to info on and daughter with Zionist group. We will hope they will come up with some info. I think they will have.

SECRET

LOAN HALPERIN

show me ref

will have to see A. He has suggested that a list on file in subject's name (father's name) and who is daughter and no connection with cultural or financial support organizations in Volady. arguments. Keifal will have to be grounded.

SENDER WILL CHECK CLASSIFICATION TOP AND BOTTOM			
UNCLASSIFIED	CONFIDENTIAL <input checked="" type="checkbox"/> SECRET		
OFFICIAL ROUTING SLIP			
TO	NAME AND ADDRESS	DATE	INITIALS
1	C/CI	10 6 00	<i>[Signature]</i>
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5	C/CI/SPG		<i>[Signature]</i>
6			
ACTION		DIRECT REPLY	PREPARE REPLY
<input checked="" type="checkbox"/> APPROVAL		DISPATCH	RECOMMENDATION
COMMENT		FILE	<input checked="" type="checkbox"/> RETURN
CONCURRENCE		INFORMATION	SIGNATURE
Remarks: <i>File this w/ Hqs copy</i> <i>ok</i> <i>of my desire to go ahead, now my only want to consult with the REMA.</i>			
FOLD HERE TO RETURN TO SENDER			
FROM: NAME, ADDRESS AND PHONE NO.			DATE
John D. Hadden, C/CI/SPG			16 Oct
UNCLASSIFIED		CONFIDENTIAL	SECRET

DISPATCH

CLASSIFICATION

SECRET

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MICROFILM

THEODORE G. TOMAZIN

WARREN H. TIBBENIAN

Maurice Hyman HALPERIN

1. It is requested that you take up the case of HALPERIN with KKCOWBELL. Attached for your information and background is some biographic data and information concerning his activities on behalf of Soviet Intelligence.

2. We would like you to explore with KKCOWBELL the possibility of sending one of his officers here to debrief HALPERIN along the lines of the Milton SCHWARTZ case. The fact that he has been unwilling to tell us anything and is yet now anti-Soviet indicates that a KKCOLLIER officer might have much better entree. Give KKCOWBELL as much of the attached information as he seems to need to make a decision.

3. Subject is probably still in British Columbia at the university mentioned, but in any case the KKCOLLIERs should be able to locate him precisely through Subject's son and/or daughter at the addresses given. It is known that he is quite close to his children.

4. In any event, we are interested in any comments or traces KKCOWBELL may have concerning him.

John L. Hadden
Chief, CI/SPG

Attachment:
Bio sheet, h/w

CROSS REFERENCE TO	DISPATCH SYMBOL AND NUMBER	DATE
	NFWW-2965	21 October 1969
	CLASSIFICATION	POS FILE NUMBER
	SECRET	
File: Subject	ORIGINATING	
	OFFICE	OFFICER
	C/CI/SPG:JLHadden/dek	
	COORDINATING	
	OFFICE SYMBOL	DATE
	OFFICER'S NAME	
RELEASING		
OFFICE SYMBOL	DATE	OFFICER'S SIGNATURE

DISPATCH

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OFFICIAL ROUTING SLIP			
TO	NAME AND ADDRESS	DATE	INITIALS
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ACTION		DIRECT REPLY	PREPARE REPLY
APPROVAL		DISPATCH	RECOMMENDATION
<input checked="" type="checkbox"/> COMMENT		FILE	RETURN
CONCURRENCE		INFORMATION	SIGNATURE
<p>Remarks: 1. Attached is a memorandum on Maurice HALPERIN for possible investigation along the lines of Milton SCHWARTZ case.</p> <p>2. The RCMP interviewed HALPERIN in early 1969. He was friendly and indicated he is now anti-Soviet but was reluctant to talk about the past. He said he wants to write a book "setting all the past into proper perspective."</p> <p>BY HAND</p>			
FOLD HERE TO RETURN TO SENDER			
FROM: NAME, ADDRESS AND PHONE NO.		DATE	
C/CI/Kergal		15 OCT 69	
<input type="checkbox"/> UNCLASSIFIED	<input type="checkbox"/> CONFIDENTIAL	<input type="checkbox"/> SECRET	

SECRET

15 October 1969

SUBJECT: Maurice Hyman HALPERIN
DPOB: Boston, 3 March 1906

1. The source for the following information is a highly-sensitive, thoroughly-tested informant with knowledge of KGB activities in North America during the Second World War:

A. Source knew that Maurice Hyman HALPERIN, Chief of the Latin American Division of O.S.S., was a valuable KGB agent while he was in O.S.S.

B. Source knew three KGB officers who at various times during the Second World War were responsible for directing HALPERIN and other penetrations of the U. S. Government - Vasilij Mikhailovich ZUBILIN aka ZARUBIN, Soviet Vice-Consul in New York City; Pavel Panteleymonovich KLARIN, Soviet Vice-Consul in New York and Stepan Zakharovich APRESYAN, Soviet Vice-Consul in New York.

2. It is known from several other sources, including Elizabeth BENTLEY, that HALPERIN had been involved in communist activities since the late 1920's and had worked for the KGB during World War II.

3. HALPERIN refused to tell a U. S. Senate committee if he had been a CP member and in 1953 he fled from the U.S. to Mexico. In 1958 he went to the USSR and allegedly worked for the Academy of Science and Moscow University. In 1962 he left Moscow and went to Cuba. He aided Alfred Kaufman STERN and Martha Dodd STERN to escape from the U.S.A. to Czechoslovakia in 1957.

4. HALPERIN's career can be divided into the following periods:

1931-1941	Professor at the University of Oklahoma
1941-1945	C.O.I. - O.S.S.
1945-1946	Department of State
1946-1949	American-Jewish Conference, handling minority groups and acting as a liaison official with the U.N.
1949-1953	Boston University
1953-1958	Mexico
1958-1968	U.S.S.R. and Cuba
1968-1969	Simon Fraser University in Burnaby, British Columbia.

5. If HALPERIN agreed to cooperate, he would be an extremely valuable source on a number of topics, as is indicated by the outline of his career. One of the most important topics, and the one in which we are

SECRET

SECRET

2

in the best position to check the validity of his information, is that of KGB penetration of the U. S. Government, especially O.S.S. and the State Department.

6. HALPERIN has indicated that he is now anti-Soviet.

7. HALPERIN's son, Dr. David HALPERIN, lives at 5309 South Harper Avenue, Chicago, Illinois.

8. HALPERIN's daughter, Judith GAMORON, and his son-in-law Rabbi Hillel GAMORON, reside at 617 North Witcomb Drive, Palatine, Illinois.

9. HALPERIN is quite deaf.

SECRET

ORIG: Robert L. Pendley/dek
UNIT: DC/CI/SPG
EXT: 7992
DATE: 7 July 1971

MESSAGE FORM
TOTAL COPIES: 6-1

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BY: SE/M PER: CI/SP-2
(ED)

☐ FILE IN CS FILE NO. _____

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CI/SP-3 INFO FILE, VR, da

(classification)

(date and time filed)

(file) (page)
(reference number)

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7 JUL 71 20 23Z

CITE DIRECTOR

157797

TO

TEL AVIV

RYBAT RHITON

NO. 2111

REF: TEL AVIV 16247 (IN 372102)

Warner HARRIS

1. ATTACHMENT TO NFVA-2965 GAVE DPOB OF SUBJECT AS BOSTON, 3 MARCH 1906, AS WELL AS CONSIDERABLE BIO INFORMATION. SEEMS CLEAR THAT NEITHER MAN LISTED IN REF IS IDENTICAL WITH SUBJECT.

2. WILL AWAIT RECEIPT OF A/544 FOR FURTHER COMMENT.

END OF MESSAGE

THE FOLLOWING

Downsizing

May Piscoch

NE/ISR

ROBERT L. PENDLEY
DEPUTY CHIEF, CI/SPG

RELEASING OFFICER

COORDINATING OFFICERS

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Birch D. O'Neal
UNIT: C/CI/SIG
EXT: 6331
DATE: 3 December 1968

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TOTAL COPIES:

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BY: 15 PER: 8

COMP: 401/51

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ALIAN, CI, CILUPS.

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EUR6, SB8

(info)

(reference number)

(pico)

05 16 39 Dec 68

CITE DIRECTOR

TO OTTAWA

KEYWAY WOMUSE

REF: OCOA 13668

56296

1. INTERPRETATION WHICH WE SHOULD PLACE ON THE LEAD PARA IN
CONTEXT OF INFO PROVIDED BY WOFIRM LEAVES US IN DOUBT. HOWEVER, WOFIRM
POSITION FOLLOWS:

A. OUR REF TO SUBJECT AS RIS AGENT IN SUMMARY OF TRACES
(SEE PARA ONE DIR 87411) WAS BASED ON BENTLEY BOOK AS
SUBSEQUENTLY POINTED OUT IN PARA ONE DIR 09956.

B. ALLEGATIONS IN BENTLEY BOOK AND INFO NEWSPAPER ITEMS
ARE IN PUBLIC DOMAIN. WE, OF COURSE, HAVE NO OBJECTION TO
SMAROVE USING IN ANY MANNER IT CHOOSES INFO APPEARING IN
PUBLIC DOMAIN ALTHOUGH CALLED THEIR ATTENTION BY US. WE
AGAIN EMPHASIZE, HOWEVER, NO WOFIRM ATTRIBUTIONS AT ANY STAGE
OF PROCEEDINGS.

C. PROPOSED "SOLEMN DECLARATION" THAT THE OFFICER IS
"VERITARLY INFORMED" SUBJECT (1) "HAS BEEN AND (2) IS
CURRENTLY CONSIDERED TO BE AN AGENT OF RIS". IF USED, WE
FEEL SHOULD NOT BE CONSIDERED AS SPECIFICALLY AND FULLY

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COORDINATING OFFICERS

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5 Dec 68
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SECRET		(date and time filed)	(file)	(date)

CITE DIRECTOR

756296

SUPPORTED BY WOFIRM DATA. WE DO FULLY ACCEPT ALLEGATION SUBJECT (1) HAS BEEN AN AGENT OF RIS, AND HAVE NO INFO WHICH WOULD INDICATE HE HAS HAD A BREAK IN FEALTY TO SOVIETS. NEVERTHELESS, WE MUST ADVISE WE HAVE NO CURRENT INFO FROM WHICH A SPECIFIC CONCLUSION COULD BE REACHED THAT HE IS (2) "CURRENTLY" ACTIVE AS AN AGENT OF RIS. SMABOVE IS BETTER QUALIFIED THAN WOFIRM TO COMMENT ON "CURRENT" RELATIONSHIP AS HE IN THEIR JURISDICTION.

2. SUGGEST INFORMAL RESPONSE TO SMABOVE CONSISTENT WITH ABOVE. HQS APPRECIATES THAT SMABOVE IS FACED WITH TOUGH PROBLEM IN SEEKING PROPER MEANS OF RESPONDING TO MANPOWER AND IMMIGRATION DEPT ON MATTERS AFFECTING POSSIBLE DISCLOSURE OF SENSITIVE SMABOVE INFO (INCLUDING INFO FROM SMABOVE "FRIENDLY LINKS"). HOWEVER, SMABOVE (AND STATION) SHOULD REALIZE THIS IS NOT GOOD "TEST CASE" OF WOFIRM ABILITY OR WILLINGNESS TO RELEASE INFO IN SUPPORT OF SMABOVE OBLIGATIONS VIS A VIS CANADIAN IMMIGRATION POLICIES AND PROCEDURES (IN THAT OVERT

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(classification)		(reference number)	

CITE DIRECTOR

7562967

ATTRIBUTION IS POSSIBLE IN THIS INSTANCE). SMABOVE SHOULD
 BE AWARE THAT NORMALLY HQS WILL NOT BE ABLE TO MAKE ITS DEROG
 INFO AVAILABLE FOR PURPOSES OF PUBLIC USE BY IMMIGRATION DEPT.
 END OF MESSAGE

E/BC/C James Howley

R. J. B.
 EURBC
 RELEASING OFFICER

COORDINATING OFFICERS
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B. B. O'Neil
 CI/SIG
 AUTHENTICATING OFFICER

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COPY NO.
 201-128561

DISPATCH

SECRET

TO	Chief, European Division	CLASSIFICATION	SECRET	PROCESSING ACTION	
INFO	Chief, WOMUSE			NO ACTION REQUIRED	
FROM	Chief of Station, Ottawa			NO ACTION REQUIRED	
SUBJECT	KEYWAY/SMABOVE Maurice HALPERIN (201-125561)				
ACTION REQUIRED - REFERENCES					
Action: See below					
References: A. OTTAWA 5447, 10 June 1968 B. DIRECTOR 09956, 20 June 1968					
<p>The following is a SMABOVE request for approval to disseminate WOFIRM derogatory information concerning Subject. SMABOVE/26 hand-carried the request to us and made the comment that SMABOVE hoped that WOFIRM would refuse the request since the derogatory information could have come only from one or two sources and SMABOVE is generally opposed to making public information that has been acquired by covert means. Although the following does not mention it, a similar request has been sent to LNERGO and SMORANCE:</p> <p>1. "In order to acquaint you and your Agency with the reason for our request in paragraph 6 below, we would explain that recent changes in the regulations of the Department of Manpower and Immigration and the provisions of the Immigration Appeal Board Act have created certain problems.</p> <p>2. "When a Special Inquiry is held by an Immigration Officer at a Canadian Port of Entry to enquire into a persons' admissibility, if information is available to the SMABOVE prohibiting the immigrant's entry under the provisions of the Immigration Act, and this information cannot be released for security reasons, SMABOVE is required by Immigration Regulations to issue a "Solemn Declaration" for use at the Inquiry. Should such an Inquiry result unfavorably for the immigrant, he has the right of appeal.</p> <p>3. "In issuing the first "Solemn Declaration", in order to provide protection for our sources, we only made a declaration that we were in possession of information making the subject ineligible for entry to Canada under Section 5 of the Immigration Act. However, the Immigration Appeal Board has since ruled that a "Solemn Declaration" should contain sufficient information to enable the individual, against whom action is being taken, to have a reasonable opportunity of knowing the nature of the allegations.</p> <p>- continued -</p>					
CROSS REFERENCE TO	DISPATCH SYMBOL AND NUMBER	DATE	15 November 1968		
	COCA-1366S				
	CLASSIFICATION	NO FILE NUMBER			
	SECRET	201-125561			

CONTINUATION OF
DISPATCH

SECRET

OCOA-13668

4. "Therefore, in consultation with our legal branch, we have drawn up the attached draft "Solemn Declaration" which we feel satisfies the conditions set out by the Board and which we propose to use in the case of Mauricio Herman HALPERIN. As you will note, we have provided information which in the opinion of our legal branch will be the minimum requirement if our declaration is to be found valid.

5. "We would therefore request that you ascertain if consideration can be given to have your Agency authorize us to include the information contained in lines nine, ten and eleven of the attached draft "Solemn Declaration". The decision of your Agency should be made with the understandings that the declaration would be available to HALPERIN and his counsel at both the Immigration Special Inquiry and the Immigration Appeal Board Hearing.

6. "Our case against HALPERIN rests on whether or not we receive approval for the use of the information furnished by your Agency, since we have to show that HALPERIN was ineligible for admission at the time he entered Canada. We appreciate the problems inherent in a request of this nature and if after weighing all the considerations your Agency finds it impossible to authorize release, we intend to advise the Department of Manpower and Immigration that we are unable to issue the required "Solemn Declaration" and will study other ways and means of overcoming our problems in cases of this nature."

Henry D. Mc Clackion
for Henry D. MC CLACKION

Attachment: R/W
As Stated

Distribution:
3 - Chief, EUR w/att h/w
2 - Chief, HQMUSE w/att h/w

SOLENN DECLARATION

I, _____, an officer of SMABOVE

do solemnly declare,

THAT I am veritably informed that

Maurice Hyman HALPERIN
born March 3, 1906 at
Boston, Massachusetts,
United States of America,

last reported residing at 600 Smith Avenue, Apt. 131-B,
Coquitlam, New Westminster, B.C. has been and is currently
considered to be an agent of the Russian Intelligence
Service. Based upon this information I believe that
Maurice Hyman HALPERIN is a member of a class of persons
described in Section 5, paragraph (n) of the Immigration
Act, Chapter 325, R.S.C. 1952.

I make this solemn declaration conscientiously
believing it to be true, and knowing that it is of the
same force and effect as if made under oath and by
virtue of the Canada Evidence Act.

Declared before me)
at _____)
this _____ day of)
_____, A.D. 19__)

(Sgd.) _____

INSTRUCTIONS			
PRIOR TO FILING		TO: ACTION BPA	
1. Fill in Sect. 2 on back. Detach back flap and forward to RID. 2. Destroy front cover sheet, unless it records significant action taken or comments requiring preservation.		If file no. and title shown are not insert proper file no. below and document to RID/AN.	
FROM:		PROPER FILE NO.	
RID/AN			
		TO: ALL ADDRESSEES FILL IN SECTION 1 ON BACK	
		COMMENTS	
		See w/att to	
TO	ROOM NO.	DATE RECEIVED	FORWARDED
1. E/BC/C		20 NOV 1968	THP
2. SH			JN
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4.			
5. CI/SIG			Blax
6. Mr Birch O'Neil			JN
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17. RID/FI			
TRACE REQUEST		FILE NUMBER (PRIMARY CODE)	
ABSTRACT <input checked="" type="checkbox"/>		201-1286	
INDEX <input type="checkbox"/>			
DATE MICROFILMED		DOCUMENT DATE	
		15 NOV 1968	
		DOCUMENT NO.	
		UCDA 1	

Can we track this in

2-6: would like discuss this with you. Refs are

J. Howard

Input to US
24 Apr 70

For file

DISPATCH

SECRET

PROCESSED ACTION

TO Chief, European Division

INTS Chief, WOMUSE

FROM Chief of Station, Ottawa

SUBJECT KEYWAY/SMABOVE

MAURICE HALPERIN (201-128561)

ACTION REQUIRED: REFERENCES

Action: See below

References: A. OTTAWA 5447, 10 June 1968
B. DIRECTOR 09956, 20 June 1968

The following is a SMABOVE request for approval to disseminate WOFIRM derogatory information concerning Subject. SMABOVE/26 hand-carried the request to us and made the comment that SMABOVE hoped that WOFIRM would refuse the request since the derogatory information could have come only from one or two sources and SMABOVE is generally opposed to making public information that has been acquired by covert means. Although the following does not mention it, a similar request has been sent to LNERGO and SMORANGE:

1. "In order to acquaint you and your Agency with the reason for our request in paragraph 6 below, we would explain that recent changes in the regulations of the Department of Manpower and Immigration and the provisions of the Immigration Appeal Board Act have created certain problems.
2. "When a Special Inquiry is held by an Immigration Officer at a Canadian Port of Entry to enquire into a persons admissibility, if information is available to the SMABOVE prohibiting the immigrant's entry under the provisions of the Immigration Act, and this information cannot be released for security reasons, SMABOVE is required by Immigration Regulations to issue a "Solemn Declaration" for use at the Inquiry. Should such an Inquiry result unfavorably for the immigrant, he has the right of appeal.
3. "In issuing the first "Solemn Declaration", in order to provide protection for our sources, we only made a declaration that we were in possession of information making the subject ineligible for entry to Canada under Section 5 of the Immigration Act. However, the Immigration Appeal Board has since ruled that a "Solemn Declaration" should contain sufficient information to enable the individual, against whom action is being taken, to have a reasonable opportunity of knowing the nature of the allegations.

- continued -

CROSS REFERENCE TO	DISPATCH SYMBOL AND NUMBER	DATE
	OCOA-13668	201-128561/1
	CLASSIFICATION	15 November 1968
	SECRET	FILE NUMBER
		201-128561

4. "Therefore, in consultation with our legal branch, we have drawn up the attached draft "Solemn Declaration" which we feel satisfies the conditions set out by the Board and which we propose to use in the case of Maurice Hyman HALPERIN. As you will note, we have provided information which in the opinion of our legal branch will be the minimum requirement if our declaration is to be found valid.

5. "We would therefore request that you ascertain if consideration can be given to have your Agency authorize us to include the information contained in lines nine, ten and eleven of the attached draft "Solemn Declaration". The decision of your Agency should be made with the understandings that the declaration would be available to HALPERIN and his counsel at both the Immigration Special Inquiry and the Immigration Appeal Board Hearing.

6. "Our case against HALPERIN rests on whether or not we receive approval for the use of the information furnished by your Agency, since we have to show that HALPERIN was ineligible for admission at the time he entered Canada. We appreciate the problems inherent in a request of this nature and if after weighing all the considerations your Agency finds it impossible to authorize release, we intend to advise the Department of Manpower and Immigration that we are unable to issue the required "Solemn Declaration" and will study other ways and means of overcoming our problems in cases of this nature."

Henry D. Mc Clackion
Henry D. MC CLACKION

Attachment: H/W
As Stated

Distribution:

- 3 - Chief, EUR w/att h/w
- 2 - Chief, WOMUSE w/att h/w

S E C R E T

2

SOLEMN DECLARATION

I, _____, an officer of SMABOVE _____

do solemnly declare,

THAT I am veritably informed that

Maurice Hyman HALPERIN
born March 3, 1906 at
Boston, Massachusetts,
United States of America,

last reported residing at 600 Smith Avenue, Apt. 131-H,
Coquitlam, New Westminster, B.C. has been and is currently
considered to be an agent of the Russian Intelligence

Service. Based upon this information I believe that
Maurice Hyman HALPERIN is a member of a class of persons
described in Section 5, paragraph (n) of the Immigration
Act, Chapter 325, R.S.C. 1952.

I make this solemn declaration conscientiously
believing it to be true, and knowing that it is of the
same force and effect as if made under oath and by
virtue of the Canada Evidence Act.

Declared before me)
at _____)
this _____ day of)
_____, A.D. 19__)

(Sgd.) _____

Input to US Refector
Machine

24 Apr 70 FES

H/W at 1-CCOA-13668

W. D. P.

201-128561

00000
RETURN TO: LYNCH
DATE: 9/16/68
File # 100-21511

NAME: HALPERIN, EDITH
NEE: FRISCH

Born: 7/6/07
Indiana

INS # AS 332 326

ADDRESS: Medellin, 36, Apt. 9, Col. Roma, Mexico

118cm
19/9/68
NAT. TO FBI info

11 OCT 1968

PP

21-128561

3P

SEP 1968

19 SEP 1968

ORG James Rowley:cs
 URG: E BC/C
 REF: R-1106
 DATE: 19 June 1968

MESSAGE FORM
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BY: 3 PER Sp. 105

CONF:

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☐ NO INDEX

☐ FILE IN CS FILE NO.

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FILE

VE

1418; 141/009. alops. calca

also. 614172

(date)

(reference number)

(pic)

SECRET

20 22 25Z JUN 68

CITE DIRECTOR

00956

TO OTTAWA

KEYWAY WOHUSE

REF: A. OTTAWA 5447 (IN 27838)

B. DIRECTOR 87411

1. CONCUR PASSAGE PARA ONE REF B INFO AS PROPOSED REF A. BASIS FOR "RIS AGENT" IDENTIFICATION IS INFO FROM ELIZABETH BENTLEY AS REFLECTED IN HER BOOK "OUT OF BONDAGE," THE DEVIN-ADAIN COMPANY, NEW YORK 1951, PAGES 200, 210, 261 AND 263 THROUGH 266.

2. EMPHASIZE NO WOFIRM ATTRIBUTION.

3. FYI. LAUCHLIN CURRIE REFERRED TO ON PAGES 172 AND 263.

END OF MESSAGE

Birch D. Neal
 CI/SIG

[Signature]
 ACE/BC

20 Jun 68 E/BC/C

251-128521

COORDINATING OFFICERS

SECRET

GROUP 1

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APPROPRIATE OFFICERS

RELEASED OFFICERS

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PERSONNEL NOTIFIED

CLASSIFIED MESSAGE

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FILE RID ☐ RET-TO

BRANCH ☐

DESTROY ☐ SIG

DISSEM BY

ACTION

W11/1005- ☒ RID COPY

INFO

FILE

VR. LUTHE EVRG CT/OPS

FILED IN SBR CT/OPS

SECRET 101415Z JUN 68 CITE OTTAWA 5447

OTTA

10 JUN 68 27838

DIRECTOR INFO JMWAVE

TYPIC

REF DIRECTOR 87411

ANL/FI	
PS/2	
ABSTRACT	<input checked="" type="checkbox"/>
INDEX	<input checked="" type="checkbox"/>

1. PER RELIABLE AND DELICATE SMABOVE SOURCE, MAURICE

HALPERIN (201-128561) HAS BEEN VIRTUALLY ASSURED AN

APPOINTMENT AT SIMON FRASER UNIVERSITY, VANCOUVER.

LAUCHLIN BERNARD CURRIE (JCOA-12472) LOBBIED VERY HARD

MAURICE (201-128561) TO HAVE HALPERIN ACCEPTED BY UNIVERSITY. CURRIE WAS AWARE OF HALPERIN'S BACKGROUND AND "WATERED IT DOWN" TO GET HIM POSITION.

2. SMABOVE WOULD LIKE TO BLOCK HALPERIN PERMANENT ADMISSION TO CANADA AND REQUESTS PERMISSION TO PASS DEROG INFO IN PARA ONE OF REF TO DEPARTMENT OF MANPOWER AND IMMIGRATION AND PRIVY COUNCIL OFFICE. WOFIRM WOULD NOT BE NAMED AS SOURCE. PLS ADVISE.

3. TAKE NO ACTION WHICH MIGHT COMPROMISE SOURCE OF PARA ONE ABOVE.

SECRET

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SECRET

10 Jun 68
201-128561
10 1968

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WH/COG3, WH 8, C, C/ops, C/12, C/1

25 APR 68 97805

SECRET 250512Z APR 68 CITE MADRID 479

PARIS INFO DIRECTOR, CENAVE

KEYWAY PSRUEN MNAPRO

US CITIZEN MAURICE HELPERIN PP-TIT-67 ARRIVED MADRID

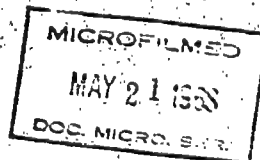
FROM HAVA 21 APRIL ISERIA FLT 942. PLEASE PASS INFO

LNERGO.

SECRET

BT

74 MAY 1968 R



SECRET

201-128561
25 APR 1968

CABLE SECRETARIAT DISSEMINATION
PERSONNEL/UNIT NOTIFIED

CLASSIFIED MESSAGE

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GROUP 2

GROUP 3

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(When Filled In)

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GROUP 2
GROUP 3

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WM 2, EOC 2, CI, CILIPS, FI

SECRET 241247Z APR 68 CITE MADRID 4773

DIRECTOR INFO JMWAVE

TYPIC WATCHLIST

MAURICE HALPERIN PP-USA-TIT-37 ARRIVED MADRID

FROM HAVA 21 APRIL IBERIA FLT 942.

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ZZ PROCESSED
20 APR 1968

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201-125561
24 APR 1968

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UNIT
EXT.
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PAGE 2

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SECRET

CITE DIRECTOR

37411

TO

WH/COG COMMENT: *Requested derog traces on Maurice Hyman HALPERIN.

C/WH/COG

E/BC/C

EUR/CUBA

ci/sig

AC/EUR/62

WILLIAM V. BROE
C/WH

COORDINATING OFFICERS

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BY _____ AT _____ 2		CLASSIFY TO FILE NO 20-112		H-REF TO FILE NO			
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SECRET 251615ZHMAR 68 CITIZENSHIP/5313

DIRECTOR INFO JMWAVE

TYPIC WATCHLIST

1. SENSITIVE SOURCE SAYS PROFESSOR MAURICE H. ALPHEIN OF UNIVERSITY OF HUVERA IS INTERESTED IN OBTAINING TEACHING POSITION IN CANADA. PER SOURCE, SUBJ IS ABOUT

60 YEARS OLD, ORIGINALLY FROM U.S., AND FORMER MEMBER WOLF.

2. SUB 2003-4 883,58:-) 285 MAURICE HYMAN, HALPERIN
BORN 3 MARCH 28 IN BOSTON, SUSPECTED SOVIET AGENT.

3. REQUEST SUMMARY DEROG WOFIRM TRACES FOR SNAbove.

LNENGO ALSO BEING QUERIED.

~~SECRET~~

LL:BT

SECRET

SECRET

VAAZ

22-27046

101-125567

101-125567

0 MAURICE HYMAN HALPERIN

101-125567 (125)

Occupation: Professor of Economic Geography.*Home Address:* Calle 12, No. 1030 Apt. C-2, Marianao, Cuba.*Office Address:* Escuela De Geografía, Universidad De La Habana, Havana, Cuba.*Married:* Edith Frisch (Univ. of Oklahoma '32), Sept. 3, 1926, Nahant, Mass.*Child:* Judith Yvonne (Oberlin '53), Sept. 4, 1931.*Married:* Hillel Gamoran (Univ. of Cincinnati), June, 1933.*Offspring:* Adam, 1957; Saul, 1959; Reuben, 1961; Miriam, 1963.*Child:* David Carlos (Universidad Nacional Autónoma De México '56), May 3, 1937.*Married:* Cecily Sharp (Chicago Art Inst. '60), 1963.*Offspring:* Joshua, 1965.*Publications Since 1957:* *Growth and Crisis in the Latin American Economy*, Science and Society, N.Y., Vol. 25, No. 3, 1961; *Conferencia Sobre Geografía Económica*, Universidad De La Habana, 1964; *Hacia Un Nuevo Sistema De Planificación Y Dirección Económica En La Unión Soviética*, Comercio Exterior, La Habana, No. 4, 1964.

ANAL	FI	
DS	DS	
ABSTRACT	X	DS

SECRET

101-125567

201-125567 ✓

SECRET
WHEN FILLED INMFR
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ROUTING AND RECORD SHEET

INSTRUCTIONS

PRIOR TO FILING

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- Destroy front cover sheet, unless it records significant action taken or comments requiring preservation

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FROM:

RID: 40

PROPOSED FILE NO.

TO: ALL ADDRESSEES

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COMMENTS

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1. COG/ES	16	Dec 66		JS
2. COG/CS				JS
3. FA				JS
4. COG/FITD		20 DEC 16	21 DEC 16	JS
5.				
6. COG/RR				JS
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8. COG/CS (P.T.)				JS
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FILE TITLE

TRACE REQUEST

ABSTRACT

INDEX

FILE NUMBER (PRIMARY CODE)

DATE WHEN FILLED

DOCUMENT DATE

DOCUMENT NUMBER

JUL 11 1966

DISPATCH		CLASSIFICATION	PROCESSING ACTION
TO	Chief,	SECRET	MARKED FOR INDEXING
INFO	Chief, Group 1 Division		NO INDEXING REQUIRED
FROM	Chief of Station, Ottawa		ONLY QUALIFIED DESK CAN JUDGE INDEXING
SUBJECT	MICROFILM		
ACTION REQUIRED REFERENCES			
<p>YFI</p> <p>REF: OCOA-11151, 6 October 1966</p> <p>SHADON has furnished the following additional information regarding Subject.</p> <ol style="list-style-type: none"> On 5 July 1966, Maurice HALPERIN advised a reliable source that he and his wife were in Montreal to visit their children. HALPERIN stated he has a daughter residing in the United States but would not be entering that country. HALPERIN stated to source that they would remain in Montreal, Quebec, as he had to purchase a hearing aid and obtain additional medical attention. HALPERIN went on to state that he and his wife are American citizens and plan to eventually return to the United States. Maurice HALPERIN concluded by stating he is teaching economics at the University of Havana, Havana, Cuba. The HALPERINS did not otherwise come to our attention during their stay in Canada. The foregoing may be disseminated for official purposes, providing SHADON is not named as the source. <p style="text-align: right;">H. D. McClain Henry D. MC CLACKION</p> <p>Distribution:</p> <ul style="list-style-type: none"> 3 - C/WH 3 - C/SUR 2 - C/JLTAVE <p style="text-align: center;">CS COPY</p>			
CROSS REFERENCE TO	DISPATCH SYMBOL AND NUMBER	DATE	
	OCOA-11733	6 October 1966	
	CLASSIFICATION	HQS FILE NUMBER	
	SECRET	20 - 128561	

SECRET

AC2299

EX - 786

5 December 1966

MEMORANDUM FOR THE RECORD

SUBJECT: "American Defectors to the USSR"

1. The attached material was part of a soft file entitled "American Defectors to the USSR", which was set up by SR/6 (Support) around 1960 and maintained by various SR components until ca. 1963. The compilations were derived from a variety of sources, and contain both classified and overt data.
2. In the fall of 1966, the files were turned over to CI Staff. In most instances, basic information was then abstracted for the US Defector Machine Program. In all instances in which the material was unique, or represented a valuable collation effort, it has been incorporated into the appropriate 201 file, along with a copy of this memorandum.
3. It is suggested that any dissemination of this data should be coordinated with SB Division and with CI Staff (CI/MRO), in view of the frequently inadequate sourcing and of the fact that disseminations have already been made through the US Defector Machine Program.

JLBender
JLBender

Orig - CI/MRO
1 - RID/FI
1 - SB/RMO
1 - CI/R&A/JLBender
1 - CI/R&A/chrono

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5 Dec 66
201-128561

SECRET

Halperin, Maurice H. - 201-125561
March 1906, Boston
Professor and long-time communist

47 00094

1931. Already a Harvard graduate and communist, he became a professor at Oklahoma University. (OO files)

1935. He made a trip to Cuba, where he and other left-wingers were arrested and expelled. During this period he continued to direct communist activities in Oklahoma and made ~~several trips to Mexico to confer with Mexican communist leaders.~~ many trips to Mexico to confer with Mexican communist leaders. (OO files)

1940. He cashed a check drawn on the Bank of Foreign Trade, Moscow. [This was not discovered until 1941; see next item.] (OO files)

1941. Congressional investigation of ~~xxxx~~ subversive activities in Oklahoma uncovered the check-cashing, his 1935 visit to and expulsion from Cuba, association with leftist individuals, articles to left-wing publications, membership in left-wing groups like Civil Liberties Committee, etc. (SRS, memo from Herman Horton to Bureau of Security and Consular Affairs, 10 August 1960)

6 September 1941. Dismissed from Oklahoma U. while on sabbatical. Reinstated 11 Feb 1942 but with understanding he would resign at termination of sabbatical. (SRS, Herman Horton memo)

1942. Late in the year, Elizabeth Bentley was introduced to him by Jacob Golos, according to her 1948 testimony. (CI/SR, OO, SRS)

1943. As of this time he worked for OSS in Washington D.C., despite his known communist activities and sympathies. He was (or became before the end of the war) head of the OSS Latin American Division. (Washington Post, 1 Sept 1960, attached). According to Elizabeth Bentley's testimony and book ("Out of Bondage"), he supplied Golos and the communist apparatus with information from his OSS office. (SIG, SRS)

1948. Elizabeth Bentley testified that she had met him in late 1942 through Jacob Golos (known Soviet agent) and that while Halperin was employed by the OSS in wartime Washington he gave information to Golos. (SIG)

Autumn 1949. He began teaching at Boston University. (SRS, Horton memo)

Late 1952 or early 1953. By this time he was director of Latin American Regional Studies at Boston University. He was summoned to testify before the Jenner Committee in Boston, since Bentley had named him as one of her sources. He refused to say whether he was a communist. (OO files)

Later in 1953. He was suspended by certain B.U. authorities in disagreement with an impartial B.U. committee which had decided not to fire him for the time being. (OO files)

28 November 1953. The Halperins took off for Mexico. (SRI, Horton memo)

6 January 1954. He was formally dismissed from B.U. (SRS, Horton memo)

20 July 1957. Date of a State Department memo on the case of Martha Todd and Alfred Stern. ~~xxxxxx~~ The Sterns had just fled from Mexico to Czechoslovakia to avoid extradition to the U.S. to testify before a grand jury

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about their association with Jack Golle and Boris Morros. Halperin was very closely associated with them and instrumental in their getaway plans - he got their plane reservations without saying they were for the Steins until the last minute, etc. (SRS; NY Herald Trib 1 Sept 60)

A# 25361

Later in 1957 or the first half of 1958. Public disclosure of his role in the Stern affair caused him and his wife (Edith nee Frisch) to lose their jobs. She was a teacher at the American School Foundation, while he worked in a Mexican government bank and at the Mexico University National School of Political and Social Sciences. (SRS, Horton memo)

26 July 1958. They applied for US passports at the American Embassy in Mexico City. (SRS, Horton memo)

26 August 1958. Their passports were issued. (SRS, Horton memo). This followed a 1958 Supreme Court decision that the State Department could not deny a passport because of communist or other political beliefs. (Wash Post, 1 Sept 60)

25 September 1958. Their passports were visaed by the Mexican authorities "to travel in Mexico in the status of immigrants," valid till 25 Sept 59. (SRS, DEF-56611. By this time the Mexican Government had begun deporting US communists. (SRS, Horton memo)

13 October 1958. They departed Mexico. (Horton memo and Wash Post, 1 Sep 60)

11 November 1958. They arrived in Czechoslovakia. (SRS, DEF-56611)

3 December 1958. They arrived in the USSR on tourist visas to firm up tentative job offer (the Soviets wouldn't commit themselves before he actually in USSR.) Job was visiting professor under Social Sciences Division of USSR Academy of Sciences, on contract till July 1961; no teaching, but research and handling student theses. Salary unfavorable since in rubles, but he hoped to compensate by getting experience and material for book; also use rubles for grand tour of USSR before departure. (CI/SIG and SRS, DEF-56611)

13 December 1958. They in Moscow as of this date but must have gone to Leningrad soon after, since he said his job was there at first. They moved to Moscow some time before July 1960. (SRS, DEF-56611)

15 July 1960. He and wife appeared at the American Embassy Moscow to renew their passports. No excuse for not having reported in earlier. The passports were renewed for two years, to expire July 1962. They gave their legal address as Medellin 36, Mexico City, and their current address as Kutuzovski Prospekt 13, apt 127, Moscow. Halperin gave them the job information reported above under 3 December 1958 and indicated that they intended to leave the USSR upon expiration of the contract in July 1961. Both were frank about the shortcomings of Soviet life. When asked if they would return to the States in July 61, he said that their permanent address was Mexico. A daughter and her husband and children were visiting them until 3 July. (SRS, DEF-56611) 24 August 1960)

October 1960. The American Embassy requested that he appear for an interview about just what his job was. He wrote on 30 October saying that they were just leaving on vacation and swearing that his job was not in conflict with his US citizenship. A certain Max Berger (not further identified) reported that Halperin was getting higher-than-usual salary and his passport should be revoked. (SRS, DRA-1753, 6 February 1962)

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-3-

November-December 1960. Halperin, "an identified Soviet agent who left the US in 1960 [sic] and is now in the Soviet Union," was to be head of one of the two new organizations founded during a Moscow conference of communist leaders: "office for permanent studies and cooperation with Latin American parties" to be organized by the Communist Parties of Argentina, Brazil, and Cuba, and to be located in Leipzig. [This presumably never happened.] (SRS, TDCS-3/452,130, 13 January 1961, info Nov-Dec 60, from an official Swedish service from usually-reliable source who Polish official with high-level contacts)

As of February 1961. He was reported as a US national lecturing in economics at Moscow U. Helped gather data for Che Guevara's Punta del Este speech. The Halperin's son in US was planning visit then in 61 but canceled to their great disappointment. Halperin seemed to regret having gone to the USSR and said on several occasions he would like to return to the US; always "joking" about the unpleasant aspects of life in the U. Had been offered job in Cuba and was trying decide whether accept. (SRS, CSOI-3/772,459, 7 Sept 1962, from Cuban refugee who was Economic Counselor at Cuban Embassy Moscow Oct 60 - Feb 61)

July 1962. Their passports would have expired, requiring issuance of new ones. (Only passports actually issued - not renewed - after September 1959 had the new three-year validity instead of the old two-year validity, according to Central Processing.) We have no record of ~~issue~~ issue of new ones, but it seems to have been done, because at the end of 1962 Halperin spoke of keeping his US citizenship after moving to Cuba.

1 October 1962. They moved to Cuba. He was already working in a textile factory and would start teaching at Havana University on 3 October. (SRS; copy of a glad-to-be-back, pro-Cuban propaganda-like ~~letter~~ personal letter written by Halperin). They got a big welcome in Cuba because they came from Moscow, but it soon wore off and the Cubans considered him cold and pedantic. (SRS, DRA-62084, 13 January 1964)

21 January 1963. Elisabeth Mora (long-time communist) returned to Mexico from a women's congress in Havana, where she had seen the Halperins. They had a mansion and servants because of his "important job," but he had aged greatly, looked old, had to do without such amenities as underwear and socks, was rationed to one tube of toothpaste every six months, etc. Wife said it was Soviet treatment that had broken him, not Cuban. They intended to stay in Cuba because of the friendlier climate and his feeling more useful there. She wanted to become a Cuban citizen so that she could visit her son and his family in Mexico, but he planned to keep his US citizenship. (SRS, DRA-34390, 26 Feb 63)

17 June 1963. Date of VIEN 3538, IN 61623, a VIEN case officer, JOANNES, in touch with Halperin who in Vienna writing a book on the Castro impact on South America. Joannes rated him "sound non-communist leftist without party ties or extremist dogmatism" - ! (SRS)

October or November 1963. Published article about damage to Cuba from hurricane Flora in October. He reportedly an economist employed by Cuban Government. (SRS, DRA-62084, 13 Jan 64)

SECRET

16 August 1960. On this date, according to NYT article of 5 Sept 60, he called on the US Emb for renewal of his American passport. (Reproduction of article is in with Martin and Mitchell material in Kay Grady's NSA file.) Renewal was apparently being delayed, possibly because of renewed attempts within the US Govt to deny passports to commie sympathizers.

5 Sept 60. The same NYT article reported just above said that he had just assailed a State Department report that he was working as an advisor to the Sov Govt on Latin American affairs. Said he was professor associated with Academy of Sciences, writing book on Latin American economy 1945-58. "There is nothing mysterious or dubious about my being in Moscow. Since my arrival I have filed two US income tax returns, listing my salary and employer. I am not working for the Soviet Govt any more than Van Cliburn was when he performed in a Govt-owned concert hall. I am a visiting prof in the USSR AS and not the first American to be associated with it. My appointment and my Soviet visa expire in July 1961."

INDEX ☐ YES ☐ NO CLASSIFIED MESSAGE **B** TOTAL COPIES **24**

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INFO FILE **VR, EUR 8, CI CI/OPS, FI, FI/INT 2**

SECRET 221525Z CITE OTTAWA 3605

DIRECTOR

TYPIC WATCHLIST ✓

1. MAURICE HALPERIN, DPOB 3 MARCH 06 IN BOSTON, AND WIFE, EDITH ERISUCH NEE FRISCH, DPOB 6 JULY 03 IN WABASH, INDIANA, ARRIVED MONTREAL 05 JULY ON CUBAN SHIP FRUCUBA. PLANNED STAY MONTREAL SIX WEEKS FOR VISIT WITH U.S. RELATIVES. SEE OCOA-11071.

2. WILL SEND SMABOVE COVERAGE OF VISIT, IF ANY.

SECRET

BT

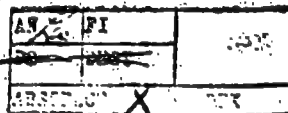
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201-128561
22 Aug 66
201-128561

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2. Seymour Young CI/Ops/EUR 2 B 46	1 JUN 1966		3
3. CI/Liaison 2 C 35	2 JUN 1966		6
4. RIOLAN		4/6	6
5. R.I.D./MIS			68
6. R.I.D./FI			
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201-128561
 3 JUN 1966

FORM 3-62 610 USE PREVIOUS EDITIONS ☐ SECRET ☐ CONFIDENTIAL ☐ INTERNAL USE ONLY ☐ UNCLASSIFIED

3 JUN 1966

TO : Director
Federal Bureau of Investigation
Attention: Mr. S. J. Papich

FROM : Deputy Director for Plans

SUBJECT : C Maurice Hymen HALPERIN and wife Edith Evelyn (nee FRISCH)

REFERENCES: A. Memorandum, dated 18 January 1965,
Subject: Maurice HALPERIN; Edith Erisoch HALPERIN

B. Report of Darrel B. CURRIE, Boston, Massachusetts,
Title: Edith Evelyn HALPERIN, dated 25 March 1966;
Field Office File 100-31726; Bureau File 100-424134

This Agency requests permission to discuss the contents of reference memorandum and report with the Royal Canadian Mounted Police (RCMP) to elicit from the RCMP information concerning the HALPERINS' visit to Montreal, Canada in August-September 1964. This Agency is particularly interested in the HALPERINS' whereabouts and activities from 18 August to 26 September 1964 and the possibility of their having been in contact with Canadian Communists at that time.

CSCI - 316/02762-88

Distribution:

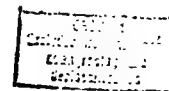
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1 - CI/Ops/EUR
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1 - CI/RAA
1 - 201-128561
2 - E/BC/Canada

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31 May 1966

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PERTINENT INFORMATION				
<p>14.</p> <div style="border: 1px solid black; padding: 10px; margin: 10px;"> <p><u>HALPERIN, MAURICE</u> 201-128561 SEX M DOB ?</p> <p style="text-align: right;">201-000212 EX -00816 07 MAR 66</p> <p>CIT USA OCC ? C USA NAME MENTIONED IN CI STUDY ON NICOLAS KAGAN /201-000212/. SEE INDEX FOR PAGE NUMBER.</p> </div> <p style="text-align: right;">R 6707251917</p> <p style="text-align: right;">7 MAR 66 FILE IN 201-128561</p>				
15. FILE THIS FORM IN FILE NO.		<div style="border: 1px solid black; padding: 5px; display: inline-block;"> 201 128 561 </div>		

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TO : DIRECTOR

FROM : ROME

ACTION: WE 6

INFO : DDP, CI, CI/OPS, CI/OA, CI/SI, FI, EE 4, SAS 8, RF 2

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3	6

SECRET 081641Z

DIR INFO PARI BERN GNVA MADR HAGU CITE ROME 9612

KEYWAY PBRUMEN LCMAYFOWL

REF DIR 52321

IF ANY INDICATION SUBJ REF COMING ITALY REQUEST FULL DETAILS
THIS CASE.

SECRET

C/S COMMENT: *PRESUMED THAT HAGU AWARE (FNU) HALPERIN IS ID/W MAURICE HYMAN
HOLPERIN KNOWN KGB AGENT INVOLVED LCMAYFAWL PENETRATION KUTWIN.

File 91516
for HALPERIN

File 201-128561

GROUP 1

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EXT: SAS/CI
4422
DATE: 5 JULY 63

CLASSIFIED MESSAGE

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EX-100
DI NO INDEX
EX-100 IN CS FILE NO. 201-278371

ROUTING	
1	4
2	5
3	6

TO: THE HAGUE

FROM: DIRECTOR

CONF: SAS 8

INFO: DOP, CI, CI/OPS, CI/OA, CI/SI, FI, RI/AN, EE 4, WE 4, SR 6,
WH 7, RF

5 JUL 63 23 17z

SIG CEN

DEFERRED

ROUTINE

TO: HAGU (PRTY)

INFO: PARI, BERN, GNVA, MADR,
ROME (ROUTINE)

CITE DIR

52321

KEYWAY PERUMEN LCHAYFOVL

REF: A. HAGU-4136 (IN-71802)

B. HAGU-4038 (IN-62856) (NOT TO INFO ADDRESSEES)

1. PRESUME HAGU AWARE THAT (FNU) HALPERIN OF REF B PARA 6,B,
IS ID/W MAURICE HYMAN HALPERIN (201-128561) KNOWN KGB AGENT INVOLVED
LCHAYFOVL ~~XXXX~~ PENETRATION KUTWIN. HALPERIN AND WIFE ARRIVED HABANA
FROM MOSCOW CA SPRING 1961 AFTER RECEIVING JOB OFFER FROM FAURE
(CHOMON) MEDIAVILLA (201-270370) THEN CUBAN AMS. TO MOSCOW. HALPERIN
REPORTED TOTALLY DRAPE AND EMBITTERED RE EXPERIENCES USSR, WHICH DOES
NOT WANT DISCUSS, PER INFO FROM ELIZABETH ~~XXXX~~ CATLETT MORA (201-
103989) WHO VISITED HIM AND WIFE JAN 63 IN HABA.

2. ED BORSTINE OF REF B PARA 6,B, ID/W EDUARDO BOORSTEIN aka
EDDIE BURSHTYN (201-170429) US NATIONAL AND AIDE TO CHE GUEVARA.
BOORSTEIN IS SUSPECT KGB AGENT AND OF CURRENT INTEREST ODENVY.

3. BALANCE OF SUBJ'S CONTACTS REPORTED REF B APPEAR OF SIMILAR
ILK. THEREFORE SUGGEST HIS MOVEMENTS AND CONTACTS ON CURRENT TRIP BE
CLOSELY MONITORED AND INFO DEVELOPED BE CABLED.

4. IN LIGHT FACT AS OF MAR 61 ODENVY HAD ESPIONAGE CASE

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EXT
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ROUTING

1		4	
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3		6	

TO :

FROM: DIRECTOR

CONF:

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ROUTINE

PAGE 2.

TO

INFO

CITE DIR

RUNNING AGAINST SUBJ, SUGGEST HAGU MAY WISH BRIEF LEGAL ATTACHE
DOWN OR PARI ON DEVELOPMENTS TO DATE AND POSSIBLY TURN OVER TO
LEGAL ATT FURTHER FOLLOW-UP, PARTICULARLY IF SUBJ GOES SUISSE.

END OF MESSAGE

for C/SAS/CI

C/SAS/EOB

for C/CI/SIG

for C/WE/2 - Walker

CI/OPS

W. O'RYAN

Chief, WE

RELEASING OFFICER

5-17

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Chief, SASAUTHENTICATING
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ROUTING

1		4
2		5
3		

TO : DIRECTOR

FROM : VIENNA

ACTION: CVO 4

INFO : DDP, CA 2, CA/PEG, CI, CI/OPS, CI/IC 2, CI/OA, EE 4, SAS 8, S/C 2

SECRET 171604Z

DIR INFO BERN CITE VIEN 3538

IN 1623

17 JUN 1953

DTSORIC ORMUSIC

VIEN OFFICERS INCLUDING JOANESS IN CONTACT HALPERIN REGARDED

AS SOUND NON-COMMUNIST LEFTIST WITHOUT PARTY TIES OR EXTREMIST

DOGMATISM. SUBJ WHILE IN VIEN WAS WRITING BOOK ON CASTRO IMPACT

ON SOUTH AMERICA. ALSO TOLD JOANESS ABOUT VISIT TO HUNGARY

1962 AND UNSUCCESSFUL EFFORTS OBTAIN INTERVIEW NOEL FIELD. SUBJ

OPEN AND FRANK IN HIS DISCUSSIONS. NO NEW INFORMATION VIEN FILES.

SECRET

Thomas POLGAR

Currently with
Center of
International Studies
at MIT
Not willing
to take SOS

File 11/516
Folder 10
HALPERIN

SECRET

EXCLUDED FROM AUTOMATIC DOWN
GRADING AND DECLASSIFICATION.

REPRODUCTION BY OTHER THAN THE ISSUING OFFICE IS PROHIBITED. Copy No.

DISPATCH

SECRET

PROCESSING

TO : Chief of Station, Mexico City

INFO

FROM

: Chief, WH Division

SUBJECT

: Maurice Hyman Halperin

ACTION REQUIRED - REFERENCE

1. Attached is a copy of DBA 34398, dated 26 February 1963, concerning Maurice Halperin and his activity in Cuba.

2. The Station's attention is drawn to page two which states that Subject's wife hopes to obtain Cuban citizenship so that she can come to Mexico to see her son and grandchildren. On the same page, there is an interesting, yet vague, reference to his treatment in the USSR.

3. Headquarters is still most interested in Subject, and the Station should be alert to any information it can gather on HALPERIN, or on his wife's travel to Mexico, which might be used for KUDESK or KUNOLF purposes.

END OF DISPATCH

Distribution:

041 - COS, Mexico City, W/Att

201-128561 ✓

DATE TYPED 8 April 63 DATE DISPATCHED 12 APR 1963

DISPATCH SYMBOL AND NUMBER

EDOW-11472

HEADQUARTERS FILE NUMBER

201-128561

CROSS REFERENCE TO

CLASSIFICATION

SECRET

ORIGINATING

OFFICE WH/3/Mex/Charlotte Bustos ds

EXT 5940

COORDINATING

OFFICE SYMBOL CI/OPS/WH DATE 8 April 63 OFFICER'S NAME F. W. Herbert

RELEASING

OFFICE SYMBOL AC/WHD DATE 8 APR 1963 OFFICER'S SIGNATURE Raford W. Herbert

ROUTE 1 - CH/ONO INITIAL
RID/AN
RID/AN
RID/AN
RID/AN
DESTROY

DISPATCH

TO: Director
Federal Bureau of Investigation
Attention: Mr. S. J. Rapich

FROM: Deputy Director (Plans)

SUBJECT: Maurice Hymen HALPERIN

1. Reference is made to our CSCI-3/772,499, Subject: "Contact with U.S. Defectors Abroad," dated 13 September 1962.

2. Sub-paragraph (e) of referenced CSCI reported that at sometime during the period October 1960-February 1961 Maurice HALPERIN had stated several times to a CIA source in Moscow that "he would like to come back to the U.S., and once asked the source if he should accept a position in Cuba which he had been offered by Fauro CHACKEN."

3. This office has received the following additional report concerning HALPERIN from the CIA office which contributed the original report:

a. "On 27 December 1962 another source of this office advised that he received a 1962 Christmas card from Maurice Halperin on which the return address was given as 'Apt C-2, Calle 12, no 103, Miramar, Matana, Cuba.' The only message on the card was one of Christmas greeting. Halperin and the source have known each other for a number of years and they always exchange Christmas cards, but this is the first time Halperin's greeting has borne a Cuban address."

b. "The last time that the source saw Halperin was in Moscow when source was visiting in the USSR during August-September 1961 as a member of an official U. S. delegation."

4. There is no record of the Habana return address in the intelligence files of this office.

CSCI-3/774,170

cc: Deputy Assistant Secretary for Security
Department of State

SEARCHED
INDEXED
SERIALIZED
FILED
SEP 1962
FBI - NEW YORK

CS COPY

13 SEP

351-128561

2-128561

SECRET

CSCI-3/774,170

SR/CI/DML 3 January 1963

Source: WH-729 (OO-A/S/ 3,246,933 from OO/C

Distribution: orig & 1 - addressee

- 1 - State
- 1 - CI/LSN
- 1 - CI/R&A
- 1 - CI/OG/SS
- 1 - TFW/CI, Attn: Lois Frederickson, GH-5509
- 1 - SR/CI chrono
- 1 - RID/AN for 201-128561
- 1 - Comeback

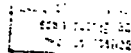
Coordinated with: TFW/CI

BIDACOI

3 JAN 1963

201-128561

201-128561



201-128561

201-128561

00000

COA(5) 3246.933

27 December 1962

Subject: Current Address of Maurice Halperin

1. A source of this office has just advised us that he received a Christmas card (1962) from Maurice Halperin on which the return address was given as: "Apt C-2, Calle 12, no 103, Miramar, Habana, Cuba." The only message on the card was one of Christmas greetings. Halperin and our source have known each other for a number of years and they always exchange Christmas cards. This is the first one Halperin has sent with a Cuban address on it. The last time that our source saw Halperin was in Moscow when he (the former) was visiting in the USSR during August-September 1961 as part of an official US delegation.

2. In view of Halperin's past activities and associations, we are making this information known to you. Our source comments that Halperin always seems to show up wherever there is some sort of activity going on.

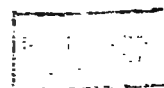
-end-

Disseminated:
ESCI-3/774, 170
3 Jan 63

27 Dec 62

201-128561

C-1



SECRET
(When Filled In)

DOCUMENT TRANSFER AND CROSS REFERENCE

SUBJECT OF DOCUMENT		DOCUMENT IDENTIFICATION			
		1. RI FILE NO.			
		3. DISPATCH OR SOURCE DOC. SYMBOL NO.		4. DATE	
		7. SOURCE CRYPTONYM		8. DATE OF INFO.	
		9. EVALUATION			
5. ANALYST		6. DATE PROCESSED		10. DISSEMINATED IN	
				11. DATE	
DOCUMENT DISPOSITION					
12. CROSS REFERRED TO THIS FILE			13. TRANSFERRED TO RI FILE NO.		
PERTINENT INFORMATION					
<p>14.</p> <p><u>HALPERIN, MAURICE</u> 201-123561 SEX M DOB ?</p> <p>201-005239 HMMA-20077 24 OCT 62 EOI PI</p> <p>PAIR 1210691</p> <p>00000000000000000000 00000000000000000000 11111111111111111111 22222222222222222222 33333333333333333333 44444444444444444444 55555555555555555555 66666666666666666666 77777777777777777777 88888888888888888888 99999999999999999999 00000000000000000000</p> <p>1 210 691</p> <p>24 OCT 62</p> <p>LETTER DATED 2 OCT 62 FROM SUBJ APT. C-2 CALLE 12, NO. 103 MIRAMAR, HAVANA TO MARGARET MALTZ.</p>					
15.					
FILE THIS FORM IN FILE NO.		<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; padding: 2px;">201</div> <div style="border: 1px solid black; padding: 2px;">128</div> <div style="border: 1px solid black; padding: 2px;">561</div> </div>			

FORM 857 USE PREVIOUS EDITIONS.

SECRET

(17-60)

ORIG: M. Patten
UNIT: WH/3/Mexico
EXT: 5940
DATE: 15 Oct 1962 1562

CLASSIFIED MESSAGE

SECRET

FILE NO. 201-128561
201-128561

ROUTING					
1			4		
2			5		
3			6		

TO: FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF STATE

FROM: DIRECTOR, CENTRAL INTELLIGENCE AGENCY

CONF: WH 8

INFO: CI, CI/O-S, S/C.2

RE: This copy is indicated
by check mark in box
RE: Indexing (check one):
Marked ☐ None required ☒
Signature: M. Patten

SIG CEN

DEFERRED

OUT87268

CITE DIR XAAZ-13491

SUBJECT: MAURICE AND DAVID HALPERIN

1. ACCORDING TO A USUALLY RELIABLE SOURCE, ON 2 OCTOBER 1962 MAURICE HALPERIN WROTE MARGARET AND ALBERT MALTZ, GIVING HIS NEW ADDRESS AS APT C-2, CALLE 12, NO. 103, MIRAMAR, HABANA. HE WAS WORKING IN A TEXTILE FACTORY BUT PLANNED TO TEACH AT THE UNIVERSITY OF HABANA BEGINNING 8 OCTOBER.
2. HIS SON DAVID HAD A RESIDENCY IN SURGERY IN A VETERANS' HOSPITAL IN CHICAGO BUT WAS DISCHARGED LAST MONTH FOR "REASONS THAT HAD NOTHING TO DO WITH HIS COMPETENCE".
3. THIS INFORMATION HAS BEEN MADE AVAILABLE TO THE BUREAU REPRESENTATIVE IN MEXICO.

END OF MESSAGE

Based on MEXI 2188 (IN 38214)

ROUTING	INITIAL
RID/AN	L
RID/MIS	
RID/TE	
DESTROY	

CI/Liaison
RELEASING OFFICER

WH/3/Mexico
COORDINATING OFFICER

SECRET

GROUP 1
Excluded from automatic
downgrading and
declassification

C/WH/R
AUTHENTICATING
OFFICER

Copy No.

FORM 1389 6-54 Use Previous Editions REPRODUCTION BY OTHER THAN THE ISSUING OFFICE IS PROHIBITED.

201-128561 (32)

039
 DATE : 11 OCT 62
 TO : DIRECTOR
 FROM : MEXICO CITY
 ACTION : WH 8
 INFO : CI, CI/OPS, S/C 2

CLASSIFIED MESSAGE

SECRET

FILE NO. 201-128561

ROUTING

1	2	3	4	5	6

OCT 12 0247Z 62

ROUTINE

IN 38214

RE Filing (check one):

No CS Record Value. Destroy.

RI file this copy as indicated

Branch copy filed as indicated

RE Indexing (check one):

Marked ☐ None required ☒Signature: *Maurice Halperin*

DIR CITE MEXI 2188

1. MAURICE HALPERIN WROTE LETTER 2 OCT MARGARET AND ALBERT MALTZ
 GIVING NEW ADDRESS APT C-2, CALLE 12, NO. 103, MIRAMAR, HABANA.
 WORKING IN TEXTILE FACTORY BUT PLANS TEACH AT UNIVERSITY HABANA
 BEGIN 8 OCT. SON DAVID HAD RESIDENCY SURGERY IN VETERANS HOSPITAL
 CHICAGO BUT FIRED LAST MONTH FOR "REASONS THAT HAD NOTHING TO DO
 WITH HIS COMPETENCE".

2. SOURCE: LIMUD. PASSED TO ODENVY MEXICO.

END OF MESSAGE

ROUTING	INITIAL
RID/AN	<i>L</i>
RID/MIS	<i>U</i>
XXXXXXXX	
RID/FI	
XXXXXXXX	
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FORM 1389 Use Previous Editions

SECRETGROUP 1
Excluded from automatic
downgrading and
declassification

12 Oct 62

201-128561 ✓

REPRODUCTION BY OTHER THAN THE ISSUING OFFICE IS PROHIBITED.

Copy No.

SECRET

TO: Director
Federal Bureau of Investigation
Attention: Mr. E. J. Tamm

FROM: Deputy Director (Plans)

SUBJECT: Maurice Symon HALPERIN

1. Reference is made to our C 61-3 772,457, Subject: "Contact with U.S. Defectors Abroad," dated 13 September 1961.

2. Sub-paragraph (c) of referenced C 61 reported that at sometime during the period October 1960-January 1961 Maurice HALPERIN had stated several times to a CIA source in Moscow that he would like to come back to the U.S., and once asked the source if he should accept a position in Cuba which he had been offered by Faure GARCIA.

3. This office has received the following additional report concerning HALPERIN from the CIA office which contributed the original report:

6. "On 27 December 1961 another source of this office advised that he received a 1961 Christmas card from Maurice Halperin on which the return address was given as 'Apt C-2, Suite 11, No 105, Miramar, Havana, Cuba.' The only message on the card was one of Christmas greetings. Halperin and the source have known each other for a number of years and they always exchange Christmas cards, but this is the first time Halperin's greeting has borne a Cuban address."

7. "The last time that the source saw Halperin was in Moscow when source was visiting in the USSR during August-September 1961 as a member of an official U. S. delegation."

8. There is no record of the Halperin return address in the intelligence files of this office.

C 61-3 772,457

cc: Deputy Assistant Secretary for Security
Department of State

13 Sep 62
201-128561

CGC1-3/776,170

SR/CI/RI 3 January 1963

Source: SR-729 (CGC1-3/776,170) 3,246,933 from CG/C

Distribution: orig & 1 - addresses

- 1 - State
- 1 - CI/ISR
- 1 - CI/PLA
- 1 - CI/US/S
- 1 - IP/CI, Attn: Lois Fredericksen, GE-5509
- 1 - SR/CI chrono
- 1 - BID/AN for 201-126561
- 1 - Comback

Coordinated with: IP/CI - & SR/CI/Red/Heaven

cc Joe Evans, SR/CI/1P

cc OC/C of desired

ORG. C. Bustos
UNIT: WH/1/Marice
DT: 5940
DATE: 2 Aug 1951

~~CLASSIFIED MESSAGE~~

S E C R E T

ROUTING

301 2-1-128521

TO : **FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF STATE**

No CS Input Value (N/A)

FROM, DIRECTOR CENTRAL INTELLIGENCE AGENCY

SECRET - UNCLASSIFIED

Branch copy list as indicated.

CONF. WH 8

RE *Is this name correct?*

Marked ☒

None required

INFO. CI, CI/OPS, FI, S/C 2

SIGNALS:

0125 TM

3 Aug 63	19 25 8
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~~SIG CEN~~

DEFERRED

ROUTINE

OUT 62026

10

INFO

CTI 012

SUBJECT: MAURICE AND EDITH HALPERIN

NOFORN/CONTINUED CONTROL

1. ACCORDING TO A USUALLY RELIABLE SOURCE, ON 23 JULY 1962 MOAN HIS SIBSON WRATH ROBERT, ~~AND~~ SON, IN CALIFORNIA THAT MAURICE AND EDITH WHO ARE IN EUROPE ARE PLANNING TO RETURN TO THE UNITED STATES SOON.
2. IT IS BELIEVED THAT THE MAURICE AND EDITH REFERRED TO ARE MAURICE AND EDITH HALPERIN.
3. THIS INFORMATION HAS BEEN MADE AVAILABLE TO THE BUREAU REPRESENTATIVE IN MEXICO.

END OF MESSAGE

Based on MEMO 1569 (IN 37788)

Classified to
201-875-235-

ROUTING	INITIAL
RID/AN	
RID/WIS	

RID/FI	

100

3 Aug 62
201-128561

Ch. Tenney
RELEASING OFFICER
CI/Lialson

COORDINATING OFFICERS

S E C R E T

GROUP 1
Excluded from automatic
downgrading and
declassification

AUTHENTICATING
OFFICER
C/WH/R

Copy No

FORM 1359 Use Previous Editions

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SECRET
(When Filled In)

DOCUMENT TRANSFER AND CROSS REFERENCE

SUBJECT OF DOCUMENT		DOCUMENT IDENTIFICATION			
		1. RI FILE NO.			
		3. DISPATCH OR SOURCE DOC. SYMBOL NO.		4. DATE	
		7. SOURCE CRYPTONYM		8. DATE OF INFO.	
5. ANALYST		6. DATE PROCESSED		10. DISSEMINATED IN	
				11. DATE	

DOCUMENT DISPOSITION	
12. CROSS REFERRED TO THIS FILE	13. TRANSFERRED TO RI FILE NO.

PERTINENT INFORMATION

HALPERIN, MAURICE

201-128561

SEX M DOB ?

CIT ?

OCC ?

RE LIFE OF SUBJ IN USSR.

200-005-031

DCE -15041

03 JUL 62

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FILE THIS FORM IN FILE NO.



201-

128

561

INFORMATION REPORT INFORMATION REPORT

CENTRAL INTELLIGENCE AGENCY

COUNTRY Cuba / *América*

SUBJECT Contact with Defectors

REPORT NO. *100-1 32170 3-1*

DATE DIS. *1 July 1962*

NO. PAGES 2

REFERENCES C-302

DATE OF INFO 1962 and earlier

PLACE &

DATE ACQ Moscow/1960-61

THIS IS UNEVALUATED INFORMATION

SOURCE Cuban national, A 12 170 210, who arrived in the US in June 1962 as a political refugee.

Source is a graduate engineer who served for a year in the Ministry of Public Works following the advent of the Castro regime, and then was assigned to the Ministry of Foreign Relations and went to the USSR as Economic Counselor. He was in the USSR from October 1960 to February 1961. Shortly after his return to Cuba he took asylum in the Argentine Embassy where he remained more than a year until his safe-conduct was arranged. This is one of several reports from source.

[This report emanated from the Caribbean Admission Center at Opa-Locka, Florida.]

1. From October 1960 to February 1961 I served as Economic Counselor of the Cuban Embassy in the USSR. During my stay I met and became fairly well acquainted with a number of defectors from the West, including Douglas McLean, who defected from the UK, and Maurice Halperin from the US. I did not meet Guy Burgess, because I was told that he is in bad physical condition due to excess drinking. McLean, who is now known as Frazier, likewise drinks heavily, and at a party the hostess cut down on his liquor saying, "If he drinks too much, he gets in bad shape."
2. McLean and his family are living in Soviet Government-furnished quarters in a new, but not elaborate, apartment building near the Ukraine Hotel. His wife made a number of remarks to my wife about how far it is necessary to walk to get food, or anything else, in the USSR. Otherwise she did not complain. McLean made numerous jokes about shortcomings of living in the USSR, including comments about the elevator in his apartment building never working. He also made some half-hearted jokes about the Soviets always spitting when they spoke.
3. At the time I knew him he was on the staff of an English language publication, "Economic Affairs."
4. Maurice Halperin was a US national, and I believe taught economics at a US university. He subsequently worked at the National Bank of Mexico, but was expelled about 1956 and went to the USSR. Halperin is now a lecturer in economics at Moscow University. Halperin assisted in gathering data used by "Che Guevara" in his speech at Punta del Este, and commented about it when the speech was published.

C-O-N-F-I-D-E-N-T-I-A-L

201-128561
3 July 62
100-1 32170

STATE	ARMY	NAVY	AIR	FBI	SEC
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INFORMATION REPORT INFORMATION REPORT

NO DISSEM ABROAD

REPORTS CONTAIN INFORMATION LIMITED TO FULL-TIME EMPLOYEES OF CIA, AEC, AND FBI, and within State and Defense, to the intelligence components, other offices producing R/S elements, and higher echelons with their immediate supporting staffs. Not to be disseminated to consultants, external private or reserve personnel on short term active duty, visiting individuals who are normally full-time employees of CIA, AEC, FBI, State or Defense, unless the written permission of the originating office has been obtained through the Assistant Director for Central Reference, CIA.

C-C-N-F-I-D-E-N-T-I-A-I

-2-

5. Halperin, I believe, is of English-Jewish background. He still has a son in the US and was happily anticipating a visit. But the son cancelled the trip for unspecified reasons, and Halperin became extremely depressed.
6. Halperin mentioned on a number of occasions that he would like to come back to the US, and at one time asked us if he should accept a position in Cuba which had been offered to him by Samuel. I believe he has regretted his going to the USSR. He is always "joking" about unpleasant aspects of life in the Soviet Union.

-end-

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C-C-N-F-I-D-E-N-T-I-A-I

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☐ UNCLASSIFIED

☐ INTERNAL
ONLY

☐ CONFIDENTIAL

☐ SECRET

ROUTING AND RECORD SHEET

SUBJECT: (Optional)

FROM:

CI/SIG *Don*

NO

CSCI-3/770,337

DATE

18 May 1962

TO: (Officer designation, room number, and building)

DATE

OFFICER'S
INITIALS

COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)

1.

C/CI

18 MAY 1962

RECEIVED

FORWARDED

77

2.

OSI copy should be passed by Mr. Flynn, as the Air Force has an interest in the Rand

~~C/TFW~~
C/TFW/CI

5 June

1.

in re attached, B. C. Neal said this is indeed a sensitive source. He said that no control ~~was~~ held over it and no reqs. could be levied. Halperin, former OSS, is now in the USSR and is known to be interested in Latin American affairs but it is not firmly known whether or not this includes Cuba. He is now a trusted member of the RIS. Note. There is more to this but I will have to tell you as further putting on paper specifically denied ~~me~~.

2.

in re the Rand Corporation, It is a West Coast Co. under contract to the Air Force. It is in fact a propriety company conducting research on all manner of subjects, mostly scientific and mostly long range. That material contained in the attached was verbally passed to the AF by Tony Flinn.

3.

CIA contact with Rand Corp. is Ed. Giller who now works with Seoville. I have not as yet contacted him.

AS

14.

15.

FORM 1 DEC 56 610 USE PREVIOUS EDITIONS

☐ SECRET

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☐ INTERNAL
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GPO 1961 O-507046

SECRET

NOTED

14 MAR 1962

100-10081

MEMORANDUM FOR: Director
Federal Bureau of Investigation
Attention: Mr. J. V. Lantieri

SUBJECT: Marion Ryan KILPATRICK
Capitulation
Bureau File No. 65-14,303

ROSENFIELD

1. From a confidential source of known reliability, it has been learned that Mr. William J. KILPATRICK, 71 Little Neck, Stamford, Connecticut, a cousin of Maurice KILPATRICK, on 3 March 1962, attended a seminar for high school students of the New England area at the Teacher's Meeting House, Stamford, Connecticut. A discussion about Latin America was led by Professor ROSENFIELD, a young, Italian-American Professor from Assumption College. ROSENFIELD allegedly stated that the Professor was a liberal, extremely well-informed, and that he "believed" in the "right with statements like: 'More people are killed in violent outbreaks in Venezuela than in Cuba'; and 'at least something is happening for good in Cuba; nothing in Venezuela.'"

EDWARD

2. According to source, the previous week Professor ROSENFIELD had lectured on Cuba for seven hours at Columbia University. Source further reported that Professor ROSENFIELD is going to work for the East German Office to help plan policy for Latin America. ROSENFIELD is quoted as saying there are almost no experts on Latin America in the U. S. A., so, despite his youth (about 30), he is considered to be one.

3. According to source, Mr. ROSENFIELD is submitting his views on Professor KILPATRICK to Maurice KILPATRICK in Moscow, USSR.

4. It is requested that information contained in this communication receive no further dissemination without prior coordination with this office.

END OF SECURITY MATTER (P) (S)

Signed: James Angleton

James Angleton

65-14,303

cc: Director, Office of Security
Department of State

Director of Special Investigations
Inspector General, WFO

CS COPY

201-128561

SECRET
NOFORN/CONTINUED CONTROL

Distributions:

- Orig. & 1 - Addressee
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1 - C/C
3 - CI/SIO
1 - CI/Arms
1 - WH/O
1 - RFD (201-128561)
1 - CI/Asa
1 - CI/LIA

CI/SIO/RAGErter:jm (17 May 1962)

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201-128561

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ROUTING AND RECORD SHEET

SUBJECT: (Optional)

FROM:

CI/SIG *Oron*

NO *CSCI-3/769,721*
DATE

TO: (Officer designation, room number, and building)

DATE

OFFICER'S INITIALS

COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)

		DATE		OFFICER'S INITIALS
		RECEIVED	FORWARDED	
1.	C/CI			/
2.				
3.	CILSN	7 MAR 1962		<i>sm</i>
4.				
5.	REDIAN			<i>RE</i>
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11.				
12.				
13.				
14.				
15.	<i>Bud/Kilmer</i>			

sup
7 MAR 1962

201-128561	
ABSTRACT	INDEX
DATE 7 March 62	

SECRET

MEMORANDUM FOR: Director
Federal Bureau of Investigation
Attention: Mr. E. J. Pappas

SUBJECT: Maurice Hyma "ALFIDE" 201-128561
Espionage - R
Green File Number 65-14303

1. Reference is made to your report on Maurice Hyma "ALFIDE" made by Special Agent Edward J. Papp, Jr., at Boston, Massachusetts, on 6 February 1962. Your report indicated that Hyma, who is residing in Moscow, U.S.S.R., was preparing a book on Latin America.

2. In the event your Bureau has not obtained a copy of the publication Reference A Society, Vol. 12, No. 3, Summer 1961, we are enclosing a copy of this issue. It will be noted that this publication contains an article by Hyma entitled, "Revolution and Crisis in the Latin American Economy", which is stated to be a chapter from his forthcoming book on Latin America.

FOR THE DEPUTY DIRECTOR (PLANS)

Signed: James Angleton
James Angleton

CG-1-1/169,721

Enclosure/s

Distribution:

- Orig: 6 1 - Addressee
1 - C/CI
3 - CI/SIG
1 - WF/3
1 - STD
1 - CI/LIA
1 - CI/AA

CS COPY

201-128561-✓